



STIC Search Report

EIC 3600

STIC Database Tracking Number: 222650

TO: Kirsten Apple
Location: Knox 5A78
Art Unit : 3693
May 4, 2007

Case Serial Number: 09/893596

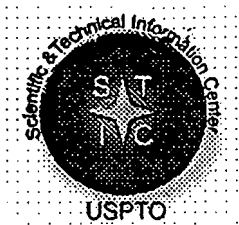
From: Caryn Wesner-Early
Location: EIC 3600
Knox 4C29
Phone: 2-3543

Caryn.Wesner-Early@uspto.gov

Search Notes

If a modification or re-focus of this search is needed, please let me know.

Caryn S. Wesner-Early, MSLS
Technical Information Specialist
EIC 3600, US Patent & Trademark Office
Phone: (571) 272-3543
Fax: (571) 273-0046
caryn.wesner-early@uspto.gov



22650

(43)

STIC EIC 3600 Search Request Form

Today's Date:

Class/Subclass

705/37

What date would you like to use to limit the search?

Priority Date: 6/29/01

Other:

Name Kirsten Apple

AU 3693

Examiner # 2824

Room # 5A18

Phone 25583

Serial # 091843, 596

Format for Search Results (Circle One):

PAPER DISK EMAIL

Where have you searched so far?

USP DWPI EPO JPO ACM IBM TDB

IEEE INSPEC SPI Other _____

What is the topic, novelty, motivation, utility, or other specific details defining the desired focus of this search? Please include the concepts, synonyms, keywords, acronyms, definitions, strategies, and anything else that helps to describe the topic. Please attach a copy of the abstract, background, brief summary, pertinent claims and any citations of relevant art you have found.

gobf, gobg

For allowance, need by 4/27/07

(stock(s) or portfolio)

and

~~tax~~

and

roll-overs or wash-sales-

if too many hit
include.

"RUSH"
JEFFREY A. SMITH
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 3600

STIC Searcher _____

Phone _____

Date picked up _____

Date Completed _____



JEFFREY A. SMITH
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 3600



STIC Search Results Feedback Form

EIC 3600

Questions about the scope or the results of the search? Contact *the EIC searcher or contact:*

Karen Lehman, EIC 3600 Team Leader
(571) 272-3496 Knox 4B68

Voluntary Results Feedback Form

➤ I am an examiner in Workgroup: Example: 3620 (optional)

➤ Relevant prior art **found**, search results used as follows:

- ☐ 102 rejection
- ☐ 103 rejection
- ☐ Cited as being of interest.
- ☐ Helped examiner better understand the invention.
- ☐ Helped examiner better understand the state of the art in their technology.

Types of relevant prior art found:

- ☐ Foreign Patent(s)
- ☐ Non-Patent Literature
(journal articles, conference proceedings, new product announcements etc.)

➤ Relevant prior art **not found**:

- ☐ Results verified the lack of relevant prior art (helped determine patentability).
- ☐ Results were not useful in determining patentability or understanding the invention.

Comments:

Drop off or send completed forms to EIC3600 Knox 4B68



? show files;ds

File 347:JAPIO Dec 1976-2006/Dec(Updated 070403)

(c) 2007 JPO & JAPIO

File 348:EUROPEAN PATENTS 1978-2007/ 200716

(c) 2007 EUROPEAN PATENT OFFICE

File 349:PCT FULLTEXT 1979-2007/UB=20070426UT=20070319

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File 350:Derwent WPIX 1963-2007/UD=200727

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File 65:Inside Conferences 1993-2007/May 03

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File 99:Wilson Appl. Sci & Tech Abs 1983-2007/Mar

(c) 2007 The HW Wilson Co.

File 474:New York Times Abs 1969-2007/May 03

(c) 2007 The New York Times

File 475:Wall Street Journal Abs 1973-2007/May 03

(c) 2007 The New York Times

File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13

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(c) 2007 Info.Sources Inc

File 139:EconLit 1969-2007/Apr

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File 9:Business & Industry(R) Jul/1994-2007/May 02

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File 15:ABI/Inform(R) 1971-2007/May 02

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File 20:Dialog Global Reporter 1997-2007/May 03

(c) 2007 Dialog

File 148:Gale Group Trade & Industry DB 1976-2007/May 02

(c)2007 The Gale Group

File 160:Gale Group PROMT(R) 1972-1989

(c) 1999 The Gale Group

File 275:Gale Group Computer DB(TM) 1983-2007/May 02

(c) 2007 The Gale Group

File 476:Financial Times Fulltext 1982-2007/May 03

(c) 2007 Financial Times Ltd

File 610:Business Wire 1999-2007/May 03

(c) 2007 Business Wire.

File 613:PR Newswire 1999-2007/May 03

(c) 2007 PR Newswire Association Inc

File 621:Gale Group New Prod.Annou.(R) 1985-2007/Apr 30

(c) 2007 The Gale Group

File 624:McGraw-Hill Publications 1985-2007/Apr 25

(c) 2007 McGraw-Hill Co. Inc
File 634:San Jose Mercury Jun 1985-2007/Apr 29
(c) 2007 San Jose Mercury News
File 636:Gale Group Newsletter DB(TM) 1987-2007/May 02
(c) 2007 The Gale Group
File 810:Business Wire 1986-1999/Feb 28
(c) 1999 Business Wire
File 813:PR Newswire 1987-1999/Apr 30
(c) 1999 PR Newswire Association Inc
File 267:Finance & Banking Newsletters 2007/Apr 23
(c) 2007 Dialog
File 268:Banking Info Source 1981-2007/Apr W4
(c) 2007 ProQuest Info&Learning
File 625:American Banker Publications 1981-2007/May 01
(c) 2007 American Banker
File 626:Bond Buyer Full Text 1981-2007/May 02
(c) 2007 Bond Buyer
File 13:BAMP 2007/Apr W4
(c) 2007 The Gale Group
File 56:Computer and Information Systems Abstracts 1966-2007/Apr
(c) 2007 CSA.
File 75:TGG Management Contents(R) 86-2007/Apr W4
(c) 2007 The Gale Group
File 249:Mgt. & Mktg. Abs. 1976-2007Apr W4
(c) 2007 Pira International
File 996:NewsRoom 2000-2001
(c) 2007 Dialog

Set	Items	Description
S1	8	AU='BIONDI M':AU='BIONDI M J'
S2	1	AU='BIONDI MATTHEW'
S3	215	AU='BIONDI, M':AU='BIONDI, M.A.'
S4	2	AU='BIONDI, MATT':AU='BIONDI, MATTHEW'
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S9	1	AU='COIRA, ROBERT S.'
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S15	1	AU='DERSTINE, JOEL D.'
S16	1	IV='DERSTINE JOEL D'
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S18	2	AU='PANZICA, C. V.':AU='PANZICA, CHRISTOPHER'
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S24	40	AU='KENT MICHAEL':AU='KENT MICHAEL JOHN LEIGHTON BUZZARD BEDFORDSHIR'
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 S32 1 AU='MCNAMARA THERESA M'
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 S35 1 AU='MC NAMARA, T.'
 S36 2 AU='MACNAMARA T'
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 S40 2 AU='MACNAMARA, T.M.'
 S41 4 AU='MACNAMARA, THEREZA':AU='MACNAMARA, THEREZA M'
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 S44 6 AU='MCNAMARA, T. M.'
 S45 2 AU='MCNAMARA, T.M.'
 S46 1 AU='MCNAMARA, TERESA, 1935-'
 S47 4 AU='MCNAMARA, TERRY':AU='MCNAMARA, TERRY, 1923-'
 S48 1 AU='MCNAMARA, THERESA M.'
 S49 1 AU='HARDING-HESSE A K':AU='HARDING-HESSE, ANN KATHLEEN'
 S50 4 AU='HARDING ANN'
 S51 77 AU='HARDING, ANN'
 S52 5 AU='HARDING, ANN, ED.':AU='HARDING, ANN, ET AL.'
 S53 1 IV='HARDING-HESSE, ANN KATHLEEN'
 S54 3 IV='HARDING ANN'
 S55 3 IV='HARDING, ANN'
 S56 136 AU=((HARDING-HESSE OR HARDING OR HESSE) (2N) (ANN OR AK OR A-
 .K. OR AH OR A.H.) OR (MCNAMARA OR MACNAMARA OR MC NAMARA) (2N-
) (THERESA OR TERESA OR TERRY OR TM OR T.M.) OR KENT(2N) (MICHA-
 EL OR MIKE OR MJ OR M.J.) OR PANZICA(2N) (CHRISTOPHER OR CHRIS)
 OR DERSTINE(
 S57 1 BY=((HARDING-HESSE OR HARDING OR HESSE) (2N) (ANN OR AK OR A-
 .K. OR AH OR A.H.) OR (MCNAMARA OR MACNAMARA OR MC NAMARA) (2N-
) (THERESA OR TERESA OR TERRY OR TM OR T.M.) OR KENT(2N) (MICHA-
 EL OR MIKE OR MJ OR M.J.) OR PANZICA(2N) (CHRISTOPHER OR CHRIS)
 OR DERSTINE(
 S58 0 IC=((HARDING-HESSE OR HARDING OR HESSE) (2N) (ANN OR AK OR A-
 .K. OR AH OR A.H.) OR (MCNAMARA OR MACNAMARA OR MC NAMARA) (2N-
) (THERESA OR TERESA OR TERRY OR TM OR T.M.) OR KENT(2N) (MICHA-
 EL OR MIKE OR MJ OR M.J.) OR PANZICA(2N) (CHRISTOPHER OR CHRIS)
 OR DERSTINE(
 S59 410 S1:S50
 S60 609 S51:S59
 S61 183 S60 FROM 347,348,349,350,371
 S62 20 IC=(G06F OR G06Q)
 S63 20 S61 AND S62
 S64 20 IDPAT (sorted in duplicate/non-duplicate order)
 S65 20 IDPAT (primary/non-duplicate records only)
 S66 426 S60 NOT S61
 S67 27 STOCK OR STOCKS OR PORTFOLIO OR PORTFOLIOS OR SECURITIES OR
 SHARE OR SHARES OR (FINANCIAL OR INVESTMENT) () (VEHICLE OR VE-
 HICLES OR PRODUCT OR PRODUCTS OR INSTRUMENT OR INSTRUMENTS) OR
 INVESTMENTS
 S68 8 S66 AND S67
 S69 44 TAX?? OR ASSESSMENT OR ASSESSMENTS OR TARIFF OR TARIFFS OR
 TAXATION OR IRS OR (INTERNAL OR INLAND) () REVENUE
 S70 41 S66 AND S69
 S71 66 FILTER??? OR SORTATION OR SYNTHESI? OR MASSAG??? OR DISTIL-

???? OR CULL??? OR GLEAN??? OR PARS??? OR MASK??? OR (LEXICAL
OR SYNTAX OR SYNTACTICAL) ()ANALYS?S

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S73	13	S66 AND S71
S74	21	S68 OR S73
S75	17	S74 NOT PY>2001
S76	14	S75 NOT PD=20010630:20070630
S77	14	RD (unique items)
S78	34	S65 OR S77 /

78/3,K/6 (Item 1 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2007 The Thomson Corporation. All rts. reserv.

0016502175 - Drawing available

WPI ACC NO: 2007-218401/200722

XRPX Acc No: N2007-162184

**Investment account transaction alteration approval method involve
identifying rule for altering reconciliation record of transaction
associated with sponsor based on alteration of reconciliation record of
transaction associated with sponsor**

Patent Assignee: FIGLIK D (FIGL-I); GERSHON S (GERS-I); GIORDANO L
(GIOR-I); HARDING A (HARD-I); KANDRAVY A (KAND-I); MCKINNEY W (MCKI-I)
; PIERDINOCK M (PIER-I); SMITH C R (SMIT-I)

Inventor: FIGLIK D; GERSHON S; GIORDANO L; HARDING A; KANDRAVY A; MCKINNEY
W; PIERDINOCK M; SMITH C R

Patent Family (1 patents, 1 countries)

Patent			Application			
Number	Kind	Date	Number	Kind	Date	Update
US 20070005493	A1	20070104	US 2005169018	A	20050629	200722 B
			US 2006377803	A	20060316	

Priority Applications (no., kind, date): US 2005169018 A 20050629; US
2006377803 A 20060316

Patent Details

Number	Kind	Lan	Pg	Dwg	Filing Notes
US 20070005493	A1	EN	20	7	C-I-P of application US 2005169018

Class Codes

International Classification (+ Attributes)

IPC + Level Value Position Status Version

G06Q-0040/00 ...

Original Publication Data by Authority

Inventor name & address:

... Harding, Ann

78/3,K/8 (Item 3 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2007 The Thomson Corporation. All rts. reserv.

0016433173 - Drawing available

WPI ACC NO: 2007-149371/200715

XRPX Acc No: N2007-107632

**Computerized method for resolving violation of investment account
restriction, involves executing resolution rule to complete investment
account management action when management action violates restriction
definition**

Patent Assignee: CHECKFREE CORP (CHEC-N)

Inventor: FELICIANO A; FIGLIK D; GERSHON S; GIORDANO L; HARDING A; KANDRAVY
A; MCKINNEY W; PIERDINOCK M; SMITH C

Patent Family (1 patents, 1 countries)

Patent			Application			
Number	Kind	Date	Number	Kind	Date	Update
US 20060277127	A1	20061207	US 2005146015	A	20050607	200715 B

Priority Applications (no., kind, date): US 2005146015 A 20050607

Patent Details

Number	Kind	Lan	Pg	Dwg	Filing Notes
US 20060277127	A1	EN	14	3	

Class Codes

International Classification (+ Attributes)
IPC + Level Value Position Status Version
G06Q-0040/00 ...

Original Publication Data by Authority

Inventor name & address:
... Harding, Ann

78/3,K/13 (Item 8 from file: 350)
DIALOG(R)File 350:Derwent WPIX
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0012470321 - Drawing available.

WPI ACC NO: 2002-416712/200244

XRPX Acc No: N2002-327906

Client computer-based method for controlling accessibility of information,
includes an escrow system that automatically makes information inaccessible
regardless of how many copies have been made

Patent Assignee: ABSOLUTE FUTURE INC (ABSO-N)

Inventor: MCNAMARA T

Patent Family (2 patents, 93 countries)

Patent			Application			
Number	Kind	Date	Number	Kind	Date	Update
WO 2002029516	A2	20020411	WO 2001US30939	A	20011002	200244 B
AU 200215314	A	20020415	AU 200215314	A	20011002	200254 E

Priority Applications (no., kind, date): US 2000237550 P 20001002

Patent Details

Number	Kind	Lan	Pg	Dwg	Filing Notes
WO 2002029516	A2	EN	25	7	

National Designated States, Original: AE AG AL AM AT AU AZ BA BB BG BR BY
BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID
IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ
NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZW

Regional Designated States, Original: AT BE CH CY DE DK EA ES FI FR GB GH
GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZW

AU 200215314 A EN Based on OPI patent WO 2002029516

Inventor: MCNAMARA T

Class Codes

International Classification (Main): G06F

78/3,K/26 (Item 1 from file: 139)
DIALOG(R)File 139:EconLit
(c) 2007 American Economic Association. All rts. reserv.

353101

REVIEW OF: Lifetime income distribution and redistribution: Applications of

a microsimulation model

REVIEWER(S): Slottje, Daniel J.
REVIEWER(S) AFFILIATION: Southern Methodist U
JOURNAL NAME: Journal of Economic Literature,
JOURNAL VOLUME & ISSUE: 33 2,
PAGES: 832-834
PUBLICATION DATE: June 1995
ISSN: 0022-0515
DOCUMENT TYPE: Book Review
BOOK(S) REVIEWED:

Harding, Ann . Lifetime income distribution and redistribution:

Applications of a microsimulation model. Contributions to Economic Analysis, vol. 221. Amsterdam; London and Tokyo: North-Holland; distributed in the U.S. and Canada by Elsevier Science, New York, 1993. (ISBN: 0-444-89843-3)

Harding, Ann .

TEXT:

... the target population and you then subject them to what I will refer to as **filters** . Harding begins with 2000 men and 2000 women. The **filters** are the transformations that take place as we age. Individuals go to school, they go...

... order. The attendant probabilities of all of these events are used as guidelines for the **filters** as a person progresses. After going through all of this, income is earned and the...

78/3,K/27 (Item 1 from file: 15)

DIALOG(R)File 15:ABI/Inform(R)

(c) 2007 ProQuest Info&Learning. All rts. reserv.

00777041 94-26433

Lifetime vs annual tax-transfer incidence: How much less progressive?

Harding, Ann

Economic Record v69n205 PP: 179-192 Jun 1993

ISSN: 0013-0249 JRNL CODE: IERC

WORD COUNT: 7822

Harding, Ann

...TEXT: participation, including hours in employment or unemployment each year; earnings and other private income from **investments** , interest, rent, dividends and superannuation; receipt of all the major social security and education cash...the labour force, live in families where other members earn income and are assumed to **share** this income. The distribution of family or household income is therefore significantly more equal than...

78/AA,AN,AZ,TI/1 (Item 1 from file: 348)
DIALOG(R)File 348:(c) 2007 EUROPEAN PATENT OFFICE. All rts. reserv.

01957396

System for capturing project time and expense data
System zum erfassen von Projektzeit- und -Unkostendaten
Systeme pour la saisie de donnees relatives au temps et aux depenses de
projets

APPLICATION (CC, No, Date): EP 2005102003 050315;
PRIORITY (CC, No, Date): US 801198 040315

78/AA,AN,AZ,TI/2 (Item 2 from file: 348)
DIALOG(R)File 348:(c) 2007 EUROPEAN PATENT OFFICE. All rts. reserv.

00755037

Integrated test and measurement means and method employing a graphical user
interface

Integriertes Prüf- und Messmittel und -verfahren mit grafischer
Benutzerschnittstelle

Moyen et procede integre de test et de mesure avec interface graphique de
l'utilisateur

APPLICATION (CC, No, Date): EP 95116867 951026;
PRIORITY (CC, No, Date): US 333907 941103

78/AA,AN,AZ,TI/3 (Item 3 from file: 348)
DIALOG(R)File 348:(c) 2007 EUROPEAN PATENT OFFICE. All rts. reserv.

00170504

Multicomputer digital processing system.
Digitales Multirechner-Verarbeitungssystem.
Systeme de traitement numerique a multi-ordinateur.

APPLICATION (CC, No, Date): EP 85113839 851030;
PRIORITY (CC, No, Date): US 666991 841031

78/AA,AN,AZ,TI/4 (Item 1 from file: 349)
DIALOG(R)File 349:(c) 2007 WIPO/Thomson. All rts. reserv.

00444348

MULTIMEDIA INFORMATION AND CONTROL SYSTEM FOR AUTOMOBILES
SYSTEME DE COMMANDE ET D'INFORMATION MULTIMEDIA DESTINE AUX AUTOMOBILES
Application: WO 98US1119 19980105 (PCT/WO US9801119)

78/AA,AN,AZ,TI/5 (Item 2 from file: 349)
DIALOG(R)File 349:(c) 2007 WIPO/Thomson. All rts. reserv.

00230182

MODULAR CARD PROCESSING SYSTEM
SYSTEME MODULAIRE DE TRAITEMENT DE CARTE
Application: WO 92US6892 19920814 (PCT/WO US9206892)

78/AA,AN,AZ,TI/6 (Item 1 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0016502175

WPI ACC NO: 2007-218401/

Investment account transaction alteration approval method involve identifying rule for altering reconciliation record of transaction associated with sponsor based on alteration of reconciliation record of transaction associated with sponsor

Original Titles:

Sponsor-manager reconciliation in handling of custodian transactions

Local Applications (No Type Date): US 2005169018 A 20050629; US
2006377803 A 20060316

Priority Applications (no., kind, date): US 2005169018 A 20050629; US
2006377803 A 20060316

78/AA,AN,AZ,TI/7 (Item 2 from file: 350)

DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0016502154

WPI ACC NO: 2007-218380/

Approval method of reconciliation of investment account transaction involves altering investment account data repository based upon reconciling received record with stored record

Original Titles:

Joint sponsor-manager handling of custodian transactions

Local Applications (No Type Date): US 2005169018 A 20050629

Priority Applications (no., kind, date): US 2005169018 A 20050629

78/AA,AN,AZ,TI/8 (Item 3 from file: 350)

DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0016433173

WPI ACC NO: 2007-149371/

Computerized method for resolving violation of investment account restriction, involves executing resolution rule to complete investment account management action when management action violates restriction definition

Original Titles:

Automated actions based on restrictions

Local Applications (No Type Date): US 2005146015 A 20050607

Priority Applications (no., kind, date): US 2005146015 A 20050607

78/AA,AN,AZ,TI/9 (Item 4 from file: 350)

DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0015728904

WPI ACC NO: 2006-290794/

Wireless communication handling method for single channel multiple-input multiple-output system, involves determining channel weight for each antenna based on peak value of determined signal strength parameters

Original Titles:

Method and system for weight determination in a single channel (SC)

multiple-input multiple-output (MIMO) system for WCDMA/HSDPA

Local Applications (No Type Date): US 2004616298 P 20041006; US

2005174252 A 20050630
Priority Applications (no., kind, date): US 2004616298 P 20041006; US
2005174252 A 20050630

78/AA,AN,AZ,TI/10 (Item 5 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0015449600
WPI ACC NO: 2006-009463/
Chemical facility e.g. factory's, lifecycle managing method for use in digital computer, involves providing integration mechanism, and using information to define facility that is shared by integration mechanism

Original Titles:

Apparatus, system and method for integrated lifecycle management of a facility

Local Applications (No Type Date): US 2004855272 A 20040527

Priority Applications (no., kind, date): US 2004855272 A 20040527

78/AA,AN,AZ,TI/11 (Item 6 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0015033428
WPI ACC NO: 2005-381418/
Image data processing system, has memory receiving data from image source at rate greater than that of image data sink, and transferring data to sink in first-in first-out manner, or speed of link used between source and sink

Original Titles:

Multi-job image buffering system and method

Local Applications (No Type Date): US 2000185122 P 20000225; US

2000571251 A 20000516

Priority Applications (no., kind, date): US 2000185122 P 20000225; US

2000571251 A 20000516

78/AA,AN,AZ,TI/12 (Item 7 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0013615828
WPI ACC NO: 2003-711173/
Trading proposal generation method for optimizing tax consequences, involves generating trading proposal for tax lots of single security for each investor account, based on selection criteria

Original Titles:

System and method for multiple account single security trading

Local Applications (No Type Date): US 2001893596 A 20010629

Priority Applications (no., kind, date): US 2001893596 A 20010629

78/AA,AN,AZ,TI/13 (Item 8 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0012470321

WPI ACC NO: 2002-416712/

Client computer-based method for controlling accessibility of information, includes an escrow system that automatically makes information inaccessible regardless of how many copies have been made

Original Titles:

METHOD AND SYSTEM FOR ESCROWING OF DECRYPTION KEYS

PROCEDE ET SYSTEME D'ENTIERCEMENT DE CLES DE CHIFFREMENT

Local Applications (No Type Date): WO 2001US30939 A 20011002; AU

200215314 A 20011002

Priority Applications (no., kind, date): US 2000237550 P 20001002

78/AA,AN,AZ,TI/14 (Item 9 from file: 350)

DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0012297198

WPI ACC NO: 2002-238322/

Videoconferencing method using Internet protocol network, involves storing subscriber specific settings at particular location accessible by switch which is installed at access point of IP network

Original Titles:

Multiple subscriber videoconferencing system

Multiple subscriber videoconferencing system

Local Applications (No Type Date): US 2000191819 P 20000324; US

2001819548 A 20010326; US 2001819548 A 20010326

Priority Applications (no., kind, date): US 2000191819 P 20000324; US

2001819548 A 20010326

78/AA,AN,AZ,TI/15 (Item 10 from file: 350)

DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0011054462

WPI ACC NO: 2001-399829/

Program control initiating method for computer peripherals, involves executing programs from upgraded firmware space, if previous firmware space is erased or its firmware is invalid

Original Titles:

Verfahren zur Einleitung von Programmkontrolle

Method for initiating program control

Methode de lancement de commande de logiciel

METHOD FOR STARTING PROGRAM CONTROL AND METHOD FOR STORING FIRMWARE

Method and apparatus for booting and upgrading firmware

Local Applications (No Type Date): EP 2000310029 A 20001110; BR 20005717

A 20001113; JP 2000344549 A 20001113; US 1999439611 A 19991112

Priority Applications (no., kind, date): US 1999439611 A 19991112

78/AA,AN,AZ,TI/16 (Item 11 from file: 350)

DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0009158998

WPI ACC NO: 1999-081390/

Map downloading system with GPS and transceiver. - Uses microprocessor to access map information via telecommunication link for display on personal device.

Original Titles:

SYSTEM ZUM HERUNTERLADEN VON KARTEN MIT GPS UND SENDER-EMPFAANGER
System zum herunterladen von karten mit gps und sender-empfanger
Map downloading system with GPS and transceiver
Systeme de transmission aval de donnees cartographiques dote d'un gps et d'un emetteur-recepteur
SYSTEM ZUM HERUNTERLADEN VON KARTEN MIT GPS UND SENDER-EMPFANGER
MAP DOWNLOADING SYSTEM WITH GPS AND TRANSCEIVER
SYSTEME DE TRANSMISSION AVAL DE DONNEES CARTOGRAPHIQUES DOTE D'UN GPS ET D'UN EMETTEUR-RECEPTEUR
SYSTEM ZUM HERUNTERLADEN VON KARTEN MIT GPS UND SENDER-EMPFANGER
MAP DOWNLOADING SYSTEM WITH GPS AND TRANSCEIVER
SYSTEME DE TRANSMISSION AVAL DE DONNEES CARTOGRAPHIQUES DOTE D'UN GPS ET D'UN EMETTEUR-RECEPTEUR
Personal communication and positioning system
Personal communication system for communicating voice data positioning information
Personal communication system for communicating voice data positioning information
Personal communication system to send and receive voice data positioning information.
Personal communication system for communicating voice data positioning information
Personal communication system for communicating voice data positioning information
Personal communication system for communicating voice data positioning information
MAP DOWNLOADING SYSTEM WITH GPS AND TRANSCEIVER

Local Applications (No Type Date): WO 1998US12829 A 19980619; AU 199879812 A 19980619; EP 1998930417 A 19980619; WO 1998US12829 A 19980619; US 1997879955 A 19970620; WO 1998US12829 A 19980619; JP 1999504866 A 19980619; US 1997879955 A 19970620; US 2000669527 A 20000925; US 1997879955 A 19970620; US 1998126936 A 19980730; US 2000670002 A 20000925; US 2002315661 A 20021209; US 1997879955 A 19970620; US 2000669527 A 20000925; US 2003376971 A 20030227; US 1997879955 A 19970620; US 2000669527 A 20000925; US 2003376971 A 20030227; US 1997879955 A 19970620; US 2000669527 A 20000925; US 2003376971 A 20030227; US 200579879 A 20050314; US 1997879955 A 19970620; US 1998126936 A 19980730; US 2000670002 A 20000925; US 2002315661 A 20021209; EP 1998930417 A 19980619; WO 1998US12829 A 19980619; DE 69832608 A 19980619; EP 1998930417 A 19980619; WO 1998US12829 A 19980619; EP 1998930417 A 19980619; WO 1998US12829 A 19980619; EP 200522998 A 20051021; EP 1998930417 A 19980619; EP 200522998 A 19980619; DE 69832608 A 19980619; EP 1998930417 A 19980619; WO 1998US12829 A 19980619
Priority Applications (no., kind, date): US 1997879955 A 19970620; US 1998126936 A 19980730; US 2000669527 A 20000925; US 2000670002 A 20000925; US 2002315661 A 20021209; US 2003376971 A 20030227; US 200579879 A 20050314

78/AA,AN,AZ,TT/17 (Item 12 from file: 350)
DIALOG(R) File 350: (c) 2007 The Thomson Corporation. All rts. reserv.

0008897815
WPI ACC NO: 1998-447075/
Multimedia information and control system for automobile - indicates

association by highlighting selected option and item corresponding in identical colour, output provides information concerning aspect of vehicle represented by item corresponding to selected option

Original Titles:

MULTIMEDIAINFORMATIONS UND STEUERSYSTEM FUR KRAFTFAHRZEUGE
MULTIMEDIA INFORMATION AND CONTROL SYSTEM FOR AUTOMOBILES
SYSTEME DE COMMANDE ET D'INFORMATION MULTIMEDIA DESTINE AUX AUTOMOBILES
MULTIMEDIAINFORMATIONS UND STEUERSYSTEM FUR KRAFTFAHRZEUGE
MULTIMEDIA INFORMATION AND CONTROL SYSTEM FOR AUTOMOBILES
SYSTEME DE COMMANDE ET D'INFORMATION MULTIMEDIA DESTINE AUX AUTOMOBILES
Multimedia information and control system for automobiles
Multimedia information and control system for automobiles
Multimedia information and control system for automobiles
Brightness control for auto-focus in an optical system
Multimedia information and control system for automobiles
Multimedia information and control system for automobiles
Multimedia information and control system for automobiles
Multimedia information and control system for automobiles
Multimedia information and control system for automobiles
Multimedia information and control system for automobiles
Multimedia information and control system for automobiles
Multimedia information and control system for automobiles
Multimedia information and control system for automobiles
Multimedia information and control system for automobiles
Multimedia information and control system for automobiles
Multimedia information and control system for automobiles.
Method and system for adjusting settings of vehicle functions.
System and method for adjusting climate control in vehicles.
Technique for effectively locating an object.
Technique for effectively providing audio information in a vehicle.
Multimedia technique for operating devices in a vehicle.
Technique for effectively searching for information in a vehicle.
Method and system for adjusting a function in a vehicle.
Technique for providing information upon a notable condition in a vehicle
Technique for effectively communicating information concerning vehicle service providers to a user
Technique for providing information and control responsive to a request in a vehicle
Multimedia information and control system for automobiles
Technique for adopting an adjustment of a vehicle function
Technique for effectively providing information responsive to a notable condition in a vehicle
Technique for temporal climate control in a vehicle
Technique for effectively maintaining components of a vehicle
Technique for preconditioning climate control in a vehicle
Technique for suggesting a service provider to service a vehicle
Technique for selecting a service provider to service a vehicle
MULTIMEDIA INFORMATION AND CONTROL SYSTEM FOR AUTOMOBILES
Local Applications (No Type Date): WO 1998US1119 A 19980105; AU 199862453 A 19980105; EP 1998904615 A 19980105; WO 1998US1119 A 19980105; US 1997789934 A 19970128; US 1997789934 A 19970128; US 1999401037 A 19990921; US 1997789934 A 19970128; US 1999401039 A 19990921; US 1997789934 A 19970128; US 1999401038 A 19990921; US 1997789934 A 19970128; WO 1998US1119 A 19980105; US 1999355433 A 19990727; US 1999401038 A 19990921; US 2001792349 A 20010223; US 1997789934 A 19970128; US 1999401038 A 19990921; US 2001792351 A 20010223; US 1997789934 A 19970128; WO 1998US1119 A 19980105; US 1999355433 A 19990727; US 2001848391 A 20010503; US 1997789934 A 19970128; US 1999401038 A 19990921; US 2001792348 A 20010223; US 1997789934 A

19970128; US 1999401039 A 19990921; US 2000717538 A 20001121; JP
 1998534667 A 19980105; WO 1998US1119 A 19980105; US 1997789934 A
 19970128; WO 1998US1119 A 19980105; US 1999355433 A 19990727; US
 2001848391 A 20010503; US 200238346 A 20020102; US 1997789934 A
 19970128; US 1999401039 A 19990921; US 2000717943 A 20001121; US
 200117776 A 20011030; US 1997789934 A 19970128; WO 1998US1119 A
 19980105; US 1999355433 A 19990727; US 2001848391 A 20010503; US
 200238741 A 20020102; US 1997789934 A 19970128; US 1999401038 A
 19990921; US 2001792351 A 20010223; US 1997789934 A 19970128; US
 1999401039 A 19990921; US 2000717943 A 20001121; US 200117776 A
 20011030; US 1997789934 A 19970128; US 1999401039 A 19990921; US
 2000717943 A 20001121; US 1997789934 A 19970128; US 1999401038 A
 19990921; US 2001792351 A 20010223; US 2002176444 A 20020620; US
 1997789934 A 19970128; US 1999401039 A 19990921; US 2000717943 A
 20001121; US 2002209107 A 20020730; US 1997789934 A 19970128; US
 1999401039 A 19990921; US 2000717943 A 20001121; US 200117776 A
 20011030; US 2002176802 A 20020621; US 1997789934 A 19970128; US
 1999401038 A 19990921; US 2001792351 A 20010223; US 2002176444 A
 20020620; US 1997789934 A 19970128; WO 1998US1119 A 19980105; US
 1999355433 A 19990727; US 2001848391 A 20010503; US 200238741 A
 20020102; US 1997789934 A 19970128; WO 1998US1119 A 19980105; US
 1999355433 A 19990727; US 2001848391 A 20010503; US 1997789934 A
 19970128; US 1999401039 A 19990921; US 2000717943 A 20001121; US
 200117776 A 20011030; US 2002176802 A 20020621; US 1997789934 A
 19970128; US 1999401039 A 19990921; US 2000717943 A 20001121; US
 2002209107 A 20020730; US 1997789934 A 19970128; US 1999401038 A
 19990921; US 2001792349 A 20010223; US 2004782581 A 20040219; US
 1997789934 A 19970128; US 1999401038 A 19990921; US 2001792349 A
 20010223; US 2004783513 A 20040220; US 1997789934 A 19970128; US
 1999401038 A 19990921; US 2001792349 A 20010223; US 2004802604 A
 20040317; US 1997789934 A 19970128; US 1999401038 A 19990921; US
 2001792349 A 20010223; US 2004802604 A 20040317; US 1997789934 A
 19970128; US 1999401038 A 19990921; US 2001792349 A 20010223; US
 2004783513 A 20040220; US 1997789934 A 19970128; WO 1998US1119 A
 19980105; US 1999355433 A 19990727; US 2001848391 A 20010503; US
 200238346 A 20020102; US 200534287 A 20050112; US 1997789934 A
 19970128; US 1999401038 A 19990921; US 2001792349 A 20010223; US
 2004782581 A 20040219; US 200556806 A 20050211; US 1997789934 A
 19970128; US 1999401038 A 19990921; US 2001792349 A 20010223; US
 2004782581 A 20040219; US 1997789934 A 19970128; WO 1998US1119 A
 19980105; US 1999355433 A 19990727; US 2001848391 A 20010503; US
 200238346 A 20020102; US 200534287 A 20050112; US 2006417795 A
 20060503; US 1997789934 A 19970128; WO 1998US1119 A 19980105; US
 1999355433 A 19990727; US 2001848391 A 20010503; US 200238346 A
 20020102; US 200534287 A 20050112; US 1997789934 A 19970128; WO
 1998US1119 A 19980105; US 1999355433 A 19990727; US 2001848391 A
 20010503; US 200238346 A 20020102; EP 1998904615 A 19980105; WO
 1998US1119 A 19980105
 Priority Applications (no., kind, date): US 1997789934 A 19970128; WO
 1998US1119 A 19980105; US 1999355433 A 19990727; US 1999401037 A
 19990921; US 1999401038 A 19990921; US 1999401039 A 19990921; US
 2000717538 A 20001121; US 2000717943 A 20001121; US 2001792348 A
 20010223; US 2001792349 A 20010223; US 2001792351 A 20010223; US
 2001848391 A 20010503; US 200117776 A 20011030; US 200238346 A
 20020102; US 200238741 A 20020102; US 2002176444 A 20020620; US
 2002176802 A 20020621; US 2002209107 A 20020730; US 2004782581 A
 20040219; US 2004783513 A 20040220; US 2004802604 A 20040317; US
 200534287 A 20050112; US 200556806 A 20050211; US 2006417795 A
 20060503

78/AA,AN,AZ,TI/18 (Item 13 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0006831347

WPI ACC NO: 1994-219786/

Printing system for forming images from stream of image data written in
printer page description language - separates compressed bit-map from
stream of image data and creates table listing break entries of bit-map,
and decompresses selected segments of bit-map in parallel

Original Titles:

Vorrichtung und Verfahren zum Speichern und Auslesen von Bilddaten

Apparatus and method for storing and printing image data

Appareil et procede pour mise en memoire et extraire des donnees d'image

Apparatus and method for parsing a stream of data including a bitmap and
creating a table of break entries corresponding with the bitmap

Local Applications (No Type Date): EP 1994300012 A 19940104; BR 19944 A
19940103; EP 1994300012 A 19940104; US 199372 A 19930104

Priority Applications (no., kind, date): US 199372 A 19930104

78/AA,AN,AZ,TI/19 (Item 14 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0005452359

WPI ACC NO: 1991-052474/

Service processor tester for testing menu - has knowledge base for mapping
screens coupled to user interface by program generator that tests
inter-screen path

Original Titles:

Dienstprozessorpruefer

Service processor tester

Testeur de processeur de service

Dienstprozessorpruefer

Service processor tester

Testeur de processeur de service

Service processor tester

Local Applications (No Type Date): EP 1990113225 A 19900711; US

1989394696 A 19890816; EP 1990113225 A 19900711; EP 1990113225 A

19900711; DE 69023420 A 19900711; EP 1990113225 A 19900711

Priority Applications (no., kind, date): US 1989394696 A 19890816

78/AA,AN,AZ,TI/20 (Item 1 from file: 371)
DIALOG(R)File 371:(c) 2002 INPI. All rts. reserv. All rts. reserv.
000674368

Title: ORDINATEUR COMPORTANT DES CIRCUITS DE MANIPULATION DE BITS

Application: FR 8110707 - 19810529

Priority Application: US 80155317 - 19800602

78/AA,AN,AZ,TI/21 (Item 1 from file: 2)
DIALOG(R)File 2:(c) 2007 Institution of Electrical Engineers. All rts.
reserv.

04313724 INSPEC Abstract Number: A89026286, B89020376, C89015928

Title: Automated optical observatory for thermospheric dynamics studies

78/AA,AN,AZ,TI/22 (Item 2 from file: 2)

DIALOG(R)File 2:(c) 2007 Institution of Electrical Engineers. All rts. reserv.

03434815 INSPEC Abstract Number: A85051393

Title: Studies of equatorial 630.0 nm airglow enhancements produced by a chemical release in the F-region

78/AA,AN,AZ,TI/23 (Item 3 from file: 2)

DIALOG(R)File 2:(c) 2007 Institution of Electrical Engineers. All rts. reserv.

02845419 INSPEC Abstract Number: A82048060

Title: Studies of the motion of equatorial 630.0 nm airglow depletions

78/AA,AN,AZ,TI/24 (Item 4 from file: 2)

DIALOG(R)File 2:(c) 2007 Institution of Electrical Engineers. All rts. reserv.

02244038 INSPEC Abstract Number: A78077401

Title: Arecibo ionospheric modification experiments-correlation of 630.0-nm intensity suppressions and enhancements with backscatter radar data

78/AA,AN,AZ,TI/25 (Item 5 from file: 2)

DIALOG(R)File 2:(c) 2007 Institution of Electrical Engineers. All rts. reserv.

01976615 INSPEC Abstract Number: A76088392

Title: Enhanced O I 6300-Å regions produced by the Platteville ionospheric modification experiment

78/AA,AN,AZ,TI/26 (Item 1 from file: 139)

DIALOG(R)File 139:(c) 2007 American Economic Association. All rts. reserv.

353101

REVIEW OF: Lifetime income distribution and redistribution: Applications of a microsimulation model

REVIEWER(S) AFFILIATION: Southern Methodist U

BOOK(S) REVIEWED:

Lifetime income distribution and redistribution: Applications of a microsimulation model.

78/AA,AN,AZ,TI/27 (Item 1 from file: 15)

DIALOG(R)File 15:(c) 2007 ProQuest Info&Learning. All rts. reserv.

00777041 94-26433

Lifetime vs annual tax-transfer incidence: How much less progressive?

78/AA,AN,AZ,TI/28 (Item 1 from file: 148)

DIALOG(R)File 148:(c) 2007 The Gale Group. All rts. reserv.

12753663 SUPPLIER NUMBER: 66496518
Trends in Child Poverty in Australia, 1982 to 1995-96.

78/AA,AN,AZ,TI/29 (Item 1 from file: 56)
DIALOG(R)File 56:(c) 2007 CSA. All rts. reserv.

0000310526 IP ACCESSION NO: 371603
Deep submicron design techniques for the 500MHz IBM S/390 G5 custom
microprocessor

78/AA,AN,AZ,TI/30 (Item 1 from file: 996)
DIALOG(R)File 996:(c) 2007 Dialog. All rts. reserv.

0270520906 15GX0NF9
Total synthesis of cytochrome b562 by native chemical ligation using a
removable auxiliary.

78/AA,AN,AZ,TI/31 (Item 2 from file: 996)
DIALOG(R)File 996:(c) 2007 Dialog. All rts. reserv.

0250502349 15FP029E
The Hunt Cup heavyweight Steeplechase: Welter Weight, a consistent
13-year-old, will try to win the Maryland Hunt Cup for the second time
today in Glyndon. Horse Racing

78/AA,AN,AZ,TI/32 (Item 3 from file: 996)
DIALOG(R)File 996:(c) 2007 Dialog. All rts. reserv.

0231528034 15EH0VE1
Essential Tips for Searching the Web.

78/AA,AN,AZ,TI/33 (Item 4 from file: 996)
DIALOG(R)File 996:(c) 2007 Dialog. All rts. reserv.

0231527071 15EH0UFY
Teaching mediated public relations.(public relations course)

78/AA,AN,AZ,TI/34 (Item 5 from file: 996)
DIALOG(R)File 996:(c) 2007 Dialog. All rts. reserv.

0156009824 157S09LZ
.....

? show files;ds
 File 347:JAPIO Dec 1976-2006/Dec(Updated 070403)
 (c) 2007 JPO & JAPIO
 File 350:Derwent WPIX 1963-2007/UD=200727
 (c) 2007 The Thomson Corporation
 File 371:French Patents 1961-2002/BOPI 200209
 (c) 2002 INPI. All rts. reserv.

Set	Items	Description
S1	167752	STOCK OR STOCKS OR PORTFOLIO OR PORTFOLIOS OR SECURITIES OR SHARE OR SHARES OR (FINANCIAL OR INVESTMENT) () (VEHICLE OR VEHICLES OR PRODUCT OR PRODUCTS OR INSTRUMENT OR INSTRUMENTS) OR INVESTMENTS
S2	29414	TAX?? OR ASSESSMENT OR ASSESSMENTS OR TARIFF OR TARIFFS OR TAXATION OR IRS OR (INTERNAL OR INLAND) () REVENUE
S3	2497769	ROLLOVER OR ROLLOVERS OR ROLL??? (2W) (OVER OR OVERS) OR CONVERT??? OR CONVERSION OR CONVERSIONS OR TRANSFER OR TRANSFERS OR TRANSFERR??? OR WASH() SALE OR WASHSALE OR MANIPULAT???
S4	1474375	FILTER??? OR SORTATION OR SYNTHESI? OR MASSAG??? OR DISTIL-???? OR CULL??? OR GLEAN??? OR PARS??? OR MASK??? OR (LEXICAL OR SYNTAX OR SYNTACTICAL) () ANALYS?S
S5	2355577	AUTOMAT?? OR COMPUTERI? OR ELECTRONIC OR (PROGRAMMED OR DYNAMIC OR SMART OR INTELLIGENT) (2N) (AGENT OR AGENTS OR SERVO? - OR BOT OR BOTS OR SYSTEM OR SYSTEMS) OR SOFTBOT OR SOFTBOTS OR SOFT() (BOT OR BOTS) OR IA OR AI
S6	304	S1(10N)S2
S7	43305	S3(5N)S4
S8	0	S5(S)S6(S)S7
S9	46	S5(S)S6
S10	1413799	IC=(G06F OR G06Q)
S11	40	S9 AND S10
S12	5	S11(S) (S3 OR S4)
S13	13	S5(10N)S6
S14	18	S12 OR S13
S15	18	IDPAT (sorted in duplicate/non-duplicate order)
S16	18	IDPAT (primary/non-duplicate records only)

16/3,K/1 (Item 1 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2007 The Thomson Corporation. All rts. reserv.

0016521204 - Drawing available

WPI ACC NO: 2007-237430/200724

XRPX Acc No: N2007-176040

Device for identification of securities (variants)

Patent Assignee: AS BELO PHYS INST (ABPH-R); MAGIYA SVETA CO LTD (MAGI-R)
; MINSK MECH ENG WKS (MIME-R)

Inventor: BURSKII V A; KAZAK N S; MASLAKOV V N; MOISEENKO P V; SANNIKOV P A
; TANIN V L

Patent Family (1 patents, 1 countries)

Patent Number	Kind	Date	Application Number	Kind	Date	Update
RU 2290696	C2	20061227	RU 2004138538	A	20041228	200724 B

Priority Applications (no., kind, date): RU 2004138538 A 20041228

Patent Details

Number	Kind	Lan	Pg	Dwg	Filing Notes
RU 2290696	C2	RU		1	

Alerting Abstract USE - Optical and electronic equipment, possible use by customs and tax departments, and also criminalists for checking authenticity of securities and documents...

16/3,K/4 (Item 4 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2007 The Thomson Corporation. All rts. reserv.

0015241987 - Drawing available

WPI ACC NO: 2005-592063/200561

XRPX Acc No: N2005-485685

Computerized support platform for financial advisers, has internal integration component to automatically provide external financial data to financial tools, and customer data entered into one financial tool to other financial tools

Patent Assignee: NAT WEALTH MANAGEMENT SERVICES LTD (NAWE-N)

Patent Family (1 patents, 1 countries)

Patent Number	Kind	Date	Application Number	Kind	Date	Update
AU 2005200162	A1	20050811	AU 2005200162	A	20050114	200561 B

Priority Applications (no., kind, date): AU 2004900301 A 20040122

Patent Details

Number	Kind	Lan	Pg	Dwg	Filing Notes
AU 2005200162	A1	EN	19	2	

Alerting Abstract ...modeler, risk manager, cash-flow manager, tax manager, portfolio manager, debt manager, estate manager, strategy filter, debt calculator or budgeting tool, for use by financial advisers to deliver financial advice such...

16/3,K/11 (Item 11 from file: 350)

DIALOG(R)File 350:Derwent WPIX
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0012969305 - Drawing available
WPI ACC NO: 2003-046590/200304
XRPX Acc No: N2003-036770

Financial investment portfolio optimization system transforms pre-tax constraints and investment characteristics into set of after-tax constraints and investment characteristics

Patent Assignee: BERGMANN M D (BERG-I); YOO D (YOOD-I)

Inventor: BERGMANN M D; YOO D

Patent Family (1 patents, 1 countries)

Patent Number	Kind	Date	Application Number	Kind	Date	Update
US 20020143682	A1	20021003	US 2000253918	P	20001129	200304 B
			US 2001995178	A	20011127	

Priority Applications (no., kind, date): US 2000253918 P 20001129; US 2001995178 A 20011127

Patent Details

Number	Kind	Lan	Pg	Dwg	Filing Notes
US 20020143682	A1	EN	13	3	Related to Provisional US 2000253918

Original Publication Data by Authority

Original Abstracts:

There are computerized processes for financial planning for individuals and groups whose financial portfolio would be subject to tax on certain events. But these processes do not take into account these taxes when optimizing investment decisions, since taxes...

16/3,K/13 (Item 13 from file: 350)

DIALOG(R)File 350:Derwent WPIX
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0012865094 - Drawing available
WPI ACC NO: 2002-724025/200278
XRPX Acc No: N2002-570870

Financial portfolio strategy converting apparatus converting into set of tactics for fund managers has tactic generator engine labelling stock data with tactic tag

Patent Assignee: CHILD M (CHIL-I); HEWLETT-PACKARD CO (HEWP); MONT M C (MONT-I); PREIST C W (PREI-I)

Inventor: CASASSA MONT M; CHILD M; MONT M C; PREIST C W

Patent Family (3 patents, 98 countries)

Patent Number	Kind	Date	Application Number	Kind	Date	Update
WO 2002084541	A2	20021024	WO 2002GB1679	A	20020411	200278 B
AU 2002251267	A1	20021028	AU 2002251267	A	20020411	200433 E
US 20040143533	A1	20040722	WO 2002GB1679	A	20020411	200449 E
			US 2003474069	A	20030930	

Priority Applications (no., kind, date): GB 20019074 A 20010411

Patent Details

Number	Kind	Lan	Pg	Dwg	Filing Notes
WO 2002084541	A2	EN	27	2	

National Designated States,Original: AE AG AL AM AT AU AZ BA BB BG BR BY

BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID
IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ
NO NZ OM PH PL PT RO RU SD SE SG SI SK SL TJ TM TN TR TT TZ UA UG US UZ
VN YU ZA ZM ZW

Regional Designated States, Original: AT BE CH CY DE DK EA ES FI FR GB GH
GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZM ZW
AU 2002251267 A1 EN Based on OPI patent WO 2002084541
US 20040143533 A1 EN PCT Application WO 2002GB1679

Original Publication Data by Authority

Original Abstracts:

...new portfolio data relating to a desired new financial portfolio; a stock evaluation module for storing valuation data relating to an assessment of stocks; a tactic generator engine operable to access the stock data stored in the stock data store, the new portfolio data stored in the new portfolio module, the valuation...

...a desired new financial portfolio; a stock evaluation module for storing valuation data relating to an assessment of stocks; a tactic generator engine operable to access the stock data stored in the stock data store, the new portfolio data stored in the new portfolio module, the valuation data stored in the stock...

Claims:

...financial portfolio; a stock evaluation module for storing valuation data relating to an assessment of stocks; a tactic generator engine operable to access the stock data stored in the stock data store, the new portfolio data stored in the new portfolio module, the...

16/3,K/14 (Item 14 from file: 350)

DIALOG(R) File 350: Derwent WPIX

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0012738752 - Drawing available

WPI ACC NO: 2002-591425/200263

XRPX Acc No: N2002-469313

Assessing method for ability of securities processing system to apply straight through processing to securities transactions

Patent Assignee: ACCENTURE LLP (ACCE-N)

Inventor: BEACHAM M L; LOBEL S M; ORTMAN R T; YAN W

Patent Family (3 patents, 23 countries)

Patent Number	Kind	Date	Application Number	Kind	Date	Update
WO 2002067080	A2	20020829	WO 2001US50794	A	20011024	200263 B
EP 1384180	A2	20040128	EP 2001273803	A	20011024	200409 E
			WO 2001US50794	A	20011024	
US 7127421	B1	20061024	US 2000696544	A	20001025	200670 E

Priority Applications (no., kind, date): US 2000696544 A 20001025

Patent Details

Number	Kind	Lan	Pg	Dwg	Filing Notes
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WO 2002067080	A2	EN	69	17	
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National Designated States, Original: CA JP

Regional Designated States, Original: AT BE CH CY DE DK ES FI FR GB GR IE

IT LU MC NL PT SE TR

EP 1384180	A2	EN
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PCT Application WO 2001US50794

Based on OPI patent WO 2002067080

Regional Designated States, Original: AT BE CH CY DE DK ES FI FR GB GR IE
IT LI LU MC NL PT SE TR

Original Publication Data by Authority

Claims:

...a system to apply straight through processing to securities transactions, the method comprising: identifying one or more assessment issues, the one or more assessment issues relating to automated processing of securities management functions in the system for processing securities transactions, wherein at least one of the one or more assessment issues relates to the ability of the system to apply straight through processing to securities transactions; storing, on a computer readable medium, the identified...

16/3,K/15 (Item 15 from file: 350)
DIALOG(R) File 350:Derwent WPIX
(c) 2007 The Thomson Corporation. All rts. reserv.

0012666175 - Drawing available

WPI ACC NO: 2002-516182/200255

One stop consolidated view of financial service system, and method therefor

Patent Assignee: CHO Y D (CHOY-I)

Inventor: CHO Y D

Patent Family (1 patents, 1 countries)

Patent			Application				
Number	Kind	Date	Number	Kind	Date	Update	
KR 2002008502	A	20020131	KR 200041728	A	20000720	200255	B

Priority Applications (no., kind, date): KR 200041728 A 20000720

Patent Details

Number	Kind	Lan	Pg	Dwg	Filing	Notes
KR 2002008502	A	KO	1	10		

Alerting Abstract ...a personal browser for utilizing a help function using integrated messaging such as banks, insurances, **stocks**, investment, property management, credit cards, communication, integrated milage, **tax** payment and **electronic** commerce payment. An authentication institution server(20) confirms one ID and password of a client...

16/3,K/16 (Item 16 from file: 350)
DIALOG(R) File 350:Derwent WPIX
(c) 2007 The Thomson Corporation. All rts. reserv.

0012631210 - Drawing available

WPI ACC NO: 2002-480061/200251

XRPX Acc No: N2002-379107

Portfolio folder computer program contains computer executable code for creating folder

Patent Assignee: CHOBAN D (CHOB-I); CHOBAN G M (CHOB-I); NUVENTIVE LLC (NUVE-N)

Inventor: CHOBAN D; CHOBAN G M

Patent Family (6 patents, 96 countries)

Patent			Application				
Number	Kind	Date	Number	Kind	Date	Update	

WO 2002044855	A2	20020606	WO 2001US44901	A	20011130	200251	B
AU 200219962	A	20020611	AU 200219962	A	20011130	200264	E
US 20020194100	A1	20021219	WO 2001US44901	A	20011130	200303	E
			US 2002130463	A	20020517		
JP 2004517395	W	20040610	WO 2001US44901	A	20011130	200438	E
			JP 2002546955	A	20011130		
IN 200300822	P2	20041204	WO 2001US44901	A	20011130	200530	E
			IN 2003KN822	A	20030624		
MX 2003004820	A1	20050101	WO 2001US44901	A	20011130	200564	E
			MX 20034820	A	20030529		

Priority Applications (no., kind, date): US 2002130463 A 20020517; US 2000250342 P 20001130

Patent Details

Number	Kind	Lan	Pg	Dwg	Filing	Notes
WO 2002044855	A2	EN	62	13		

National Designated States, Original: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ OM PH PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZM ZW

Regional Designated States, Original: AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZM ZW

AU 200219962	A	EN			Based on OPI patent	WO 2002044855
US 20020194100	A1	EN			PCT Application	WO 2001US44901
JP 2004517395	W	JA	101		PCT Application	WO 2001US44901
					Based on OPI patent	WO 2002044855
IN 200300822	P2	EN			PCT Application	WO 2001US44901
MX 2003004820	A1	ES			PCT Application	WO 2001US44901
					Based on OPI patent	WO 2002044855

Original Titles:

Computerized portfolio and assessment system...

...A COMPUTERIZED PORTFOLIO AND ASSESSMENT SYSTEM...

Alerting Abstract ...portfolio folder A method of creating and providing access to a computerized portfolio folder An **assessment process** A computer method of **sharing** personal information over the Internet A communication system A server-generated HYML page A system...

16/3,K/17 (Item 17 from file: 350)
 DIALOG(R)File 350:Derwent WPIX
 (c) 2007 The Thomson Corporation. All rts. reserv.

0012506286 - Drawing available
 WPI ACC NO: 2002-454238/200248
 Related WPI Acc No: 2004-178288
 XRPX Acc No: N2002-358312

Investment portfolio management method for investors, involves repurchasing securities sold to harvest tax losses and selling fund shares purchased for replacement of sold securities after minimum delay period

Patent Assignee: BROWN D P (BROW-I); SCHULZ D W (SCHU-I); MARSHALL & ILSLEY CORP (MARS-N)

Inventor: BROWN D P; SCHULZ D W

Patent Family (2 patents, 1 countries)

Patent	Application
Number	Kind Date Number Kind Date Update

US 20020059127	A1	20020516	US 1999322412	A	19990528	200248	B
			US 200251893	A	20020118		
US 7031937	B2	20060418	US 200251893	A	20020118	200627	E

Priority Applications (no., kind, date): US 1999322412 A 19990528; US 200251893 A 20020118

Patent Details

Number	Kind	Lan	Pg	Dwg	Filing Notes
US 20020059127	A1	EN	13	3	C-I-P of application US 1999322412

Alerting Abstract ...ADVANTAGE - A financial investment product provides diversified investments and **tax loss** harvesting for **small to medium** sized investors. An automated investment is provided for systematically **harvesting** tax losses...

16/AN,AZ,TI/1 (Item 1 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0016521204

Device for identification of securities (variants)

Local Applications (No Type Date): RU 2004138538 A 20041228

Priority Applications (no., kind, date): RU 2004138538 A 20041228

16/AN,AZ,TI/2 (Item 2 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0016007249

Object management for computing device involves providing report to user to represent risk assessed for project and result of plan validation

Original Titles:

System and method for an automated project office and automatic risk assessment and reporting

SYSTEM AND METHOD FOR AN AUTOMATED PROJECT OFFICE AND AUTOMATIC RISK ASSESSMENT AND REPORTING

SYSTEME ET PROCEDE POUR BUREAU DE PROJET AUTOMATIQUE, EVALUATION ET NOTIFICATION AUTOMATIQUE DE RISQUE

Local Applications (No Type Date): WO 2005US47212 A 20051230; US

2004640104 P 20041230; US 2005321649 A 20051230

Priority Applications (no., kind, date): US 2005321649 A 20051230; US

2004640104 P 20041230; US 2005249744 A 20051014

16/AN,AZ,TI/3 (Item 3 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0015545294

Automatic location dependent flood risk recognition method for river, involves linking flood risk factor with river discharge values by correlation module to generate event specific average probabilistic water depth value for one event

Original Titles:

METHOD AND SYSTEM FOR AUTOMATED LOCATION-DEPENDENT RECOGNITION OF FLOOD RISKS

PROCEDE ET SYSTEME DE RECONNAISSANCE LOCALISEE DES RISQUES D'INONDATIONS

Local Applications (No Type Date): WO 2004EP51317 A 20040630

Priority Applications (no., kind, date): WO 2004EP51317 A 20040630

16/AN,AZ,TI/4 (Item 4 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0015241987

Computerized support platform for financial advisers, has internal integration component to automatically provide external financial data to financial tools, and customer data entered into one financial tool to other financial tools

Original Titles:

A computerised support platform for financial advisers

Local Applications (No Type Date): AU 2005200162 A 20050114
Priority Applications (no., kind, date): AU 2004900301 A 20040122

16/AN,AZ,TI/5 (Item 5 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0015220526

Savings and bill payment system for employee, analyzes user's debt data and provides recommendation with suggestion for minimizing user debt payment and maximizing user saving, and payment hierarchy with user income for savings and debts

Original Titles:

PAY YOURSELF FIRST SYSTEM

Local Applications (No Type Date): US 2004542716 P 20040206; US

2004552857 P 20040311; US 2004709701 A 20040524

Priority Applications (no., kind, date): US 2004542716 P 20040206; US

2004552857 P 20040311; US 2004709701 A 20040524

16/AN,AZ,TI/6 (Item 6 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0015137861

Operating method of communication device e.g. portable personal computer, involves launching applications launching applications associated with identified communication contact, in response to establishment of communication connection

Original Titles:

Communication device and method of operation therefor

Communication device for identifying, storing, managing and updating application and data information with respect to one or more communication contacts

COMMUNICATION DEVICE AND METHOD OF OPERATION THEREFOR

DISPOSITIF DE COMMUNICATION ET PROCEDE POUR LE FAIRE FONCTIONNER

Local Applications (No Type Date): US 2003738833 A 20031217; WO

2004US42328 A 20041216; US 2003738833 A 20031217

Priority Applications (no., kind, date): US 2003738833 A 20031217

16/AN,AZ,TI/7 (Item 7 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0015067505

Enterprise risk assessment manger system for use by e.g. treasurer, has java risk assessment manger providing application functions and services, and corporate linkage providing information to find risk exposure based on portfolio

Original Titles:

Enterprise risk assessment manager system

ENTERPRISE RISK ASSESSMENT MANAGER SYSTEM

SYSTEME DE GESTION ET D'EVALUATION DE RISQUES D'ENTREPRISE

Local Applications (No Type Date): US 2003726023 A 20031202; WO

2004US38114 A 20041115

Priority Applications (no., kind, date): US 2003726023 A 20031202

16/AN,AZ,TI/8 (Item 8 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0013706297

Customer's side automated web services bidding method e.g. in stock quote service, involves accepting bid, if best bid assessment score has not improved since last iteration, else assembling further bid request to perform iteration

Original Titles:

System and method for automated auctioning of web services
Local Applications (No Type Date): US 2002120631 A 20020411
Priority Applications (no., kind, date): US 2002120631 A 20020411

16/AN,AZ,TI/9 (Item 9 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0013295555

System for implementing employee stock plan has interface system for participant selection of enrollment periods, deductions, contributions and notification of options

Original Titles:

SYSTEM AND METHOD FOR IMPLEMENTING EMPLOYEE STOCK PLANS
System and method for implementing employee stock plans
SYSTEM UND VERFAHREN ZUR IMPLEMENTIERUNG VON ANGESTELLTEN-BESTANDSPLANEN
SYSTEM AND METHOD FOR IMPLEMENTING EMPLOYEE STOCK PLANS
SYSTEME ET PROCEDE PERMETTANT DE METTRE EN OEUVRE UN REGIME D'ACTIONNARIAT DE SALARIES
SYSTEM AND METHOD FOR IMPLEMENTING EMPLOYEE STOCK PLANS
SYSTEME ET PROCEDE PERMETTANT DE METTRE EN OEUVRE UN REGIME D'ACTIONNARIAT DE SALARIES
Local Applications (No Type Date): WO 2002US35255 A 20021031; EP 2002789394 A 20021031; WO 2002US35255 A 20021031; AU 2002353990 A 20021031; AU 2002353990 A 20021031
Priority Applications (no., kind, date): US 20013613 A 20011102

16/AN,AZ,TI/10 (Item 10 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0013247787

New 1-glyoxylamideindolizines useful in the treatment of cancer

Original Titles:

1-GLYOXYLAMIDE INDOLIZINES FOR TREATING CANCER
1-GLYOXYLAMIDINDOLIZINE ZUR BEHANDLUNG VON KREBS
1-GLYOXYLAMIDINDOLIZINE ZUR BEHANDLUNG VON KREBS
1-GLYOXYLAMIDE INDOLIZINES FOR TREATING CANCER
INDOLIZINES DE 1-GLYOXYLAMIDE PERMETTANT DE TRAITER LE CANCER
1-GLYOXYLAMIDINDOLIZINE ZUR BEHANDLUNG VON KREBS
1-GLYOXYLAMIDE INDOLIZINES FOR TREATING CANCER
INDOLIZINES DE 1-GLYOXYLAMIDE PERMETTANT DE TRAITER LE CANCER

1-glyoxlyamid-indolizine zur Behandlung von Krebs
 1-Glyoxylamide indolizines for treating cancer
 1-Glyoxylamid-indolizine pour la traitement de cancer
 1-Glyoxylamide indolizines for treating cancer
 Indolizine compounds
 INDOLIZINE COMPOUNDS
 1-glyoxylamide indolizines for treating cancer
 1-glyoxylamide indolizines for treating cancer
 1-GLYOXLYLAMIDE INDOLIZINES FOR TREATING CANCER
 INDOLIZINES DE 1-GLYOXLYLAMIDE PERMETTANT DE TRAITER LE CANCER
 Local Applications (No Type Date): WO 2002US29154 A 20020913; US
 2001322020 P 20010913; US 2002244088 A 20020913; US 2001322020 P
 20010913; US 2002244088 A 20020913; US 2002319401 A 20021212; US
 2003388332 A 20030313; US 2002319401 A 20021212; EP 2002798231 A
 20020913; WO 2002US29154 A 20020913; AU 2002333626 A 20020913; KR
 2004703754 A 20040312; US 2001322020 P 20010913; US 2002244088 A
 20020913; US 2004849978 A 20040520; BR 200212794 A 20020913; WO
 2002US29154 A 20020913; WO 2002US29154 A 20020913; NO 20041035 A
 20040311; WO 2002US29154 A 20020913; JP 2003526921 A 20020913; US
 2001322020 P 20010913; US 2002244088 A 20020913; EP 2002798231 A
 20020913; WO 2002US29154 A 20020913; DE 60205265 A 20020913; EP
 2002798231 A 20020913; WO 2002US29154 A 20020913; WO 2002US29154 A
 20020913; IN 2004DN664 A 20040316; ZA 20041996 A 20040311; WO
 2002US29154 A 20020913; MX 20042323 A 20040311; CN 2002820284 A
 20020913; EP 2002798231 A 20020913; WO 2002US29154 A 20020913; EP
 200516203 A 20050726; EP 2002798231 A 20020913; EP 200516203 A
 20020913; EP 2002798231 A 20020913; DE 60205265 A 20020913; EP
 2002798231 A 20020913; WO 2002US29154 A 20020913; NZ 531700 A
 20020913; WO 2002US29154 A 20020913; AU 2002333626 A 20020913
 Priority Applications (no., kind, date): US 2001322020 P 20010913; US
 2002244088 A 20020913; US 2002319401 A 20021212; US 2003388332 A
 20030313; US 2004849978 A 20040520

16/AN,AZ,TI/11 (Item 11 from file: 350)
 DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0012969305

**Financial investment portfolio optimization system transforms pre-tax
 constraints and investment characteristics into set of after-tax
 constraints and investment characteristics**

Original Titles:

Method of ascertaining an efficient frontier for tax-sensitive investors
 Local Applications (No Type Date): US 2000253918 P 20001129; US
 2001995178 A 20011127
 Priority Applications (no., kind, date): US 2000253918 P 20001129; US
 2001995178 A 20011127

16/AN,AZ,TI/12 (Item 12 from file: 350)
 DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0012923634

**Integrated fund management system for on-line superannuation accounting has
 secure user access, web browser plug-in and relational database**

Local Applications (No Type Date): AU 200221288 A 20020306
 Priority Applications (no., kind, date): AU 20013613 A 20010309

16/AN,AZ,TI/13 (Item 13 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0012865094

Financial portfolio strategy converting apparatus converting into set of tactics for fund managers has tactic generator engine labelling stock data with tactic tag

Original Titles:

Computerised apparatus and methods of converting a portfolio strategy into a set of tactics

COMPUTERISED APPARATUS AND METHODS OF CONVERTING A PORTFOLIO STRATEGY INTO A SET OF TACTICS

APPAREIL INFORMATISE ET PROCEDES POUR CONVERTIR UNE STRATEGIE DE PORTEFEUILLE EN UN ENSEMBLES DE TACTIQUES

Local Applications (No Type Date): WO 2002GB1679 A 20020411; AU

2002251267 A 20020411; WO 2002GB1679 A 20020411; US 2003474069 A 20030930

Priority Applications (no., kind, date): GB 20019074 A 20010411

16/AN,AZ,TI/14 (Item 14 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0012738752

Assessing method for ability of securities processing system to apply straight through processing to securities transactions

Original Titles:

VERFAHREN UND SYSTEM ZUM IDENTIFIZIEREN VON ENGPASSEN IN EINEM SECURITIES-VERARBEITUNGSSYSTEM

A METHOD AND SYSTEM FOR IDENTIFYING BOTTLENECKS IN A SECURITIES PROCESSING SYSTEM

PROCEDE ET SYSTEME D'IDENTIFICATION DE GOULOTS D'ETRANGLEMENT DANS UN SYSTEME DE TRAITEMENT DE TITRES

Method and system for identifying bottlenecks in a securities processing system

A METHOD AND SYSTEM FOR IDENTIFYING BOTTLENECKS IN A SECURITIES PROCESSING SYSTEM

PROCEDE ET SYSTEME D'IDENTIFICATION DE GOULOTS D'ETRANGLEMENT DANS UN SYSTEME DE TRAITEMENT DE TITRES

Local Applications (No Type Date): WO 2001US50794 A 20011024; EP

2001273803 A 20011024; WO 2001US50794 A 20011024; US 2000696544 A 20001025

Priority Applications (no., kind, date): US 2000696544 A 20001025

16/AN,AZ,TI/15 (Item 15 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0012666175

One stop consolidated view of financial service system, and method therefor

Local Applications (No Type Date): KR 200041728 A 20000720

Priority Applications (no., kind, date): KR 200041728 A 20000720

16/AN,AZ,TI/16 (Item 16 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0012631210

Portfolio folder computer program contains computer executable code for creating folder

Original Titles:

Computerized portfolio and assessment system
A COMPUTERIZED PORTFOLIO AND ASSESSMENT SYSTEM
PORTEFEUILLE INFORMATISE ET SYSTEME D'EVALUATION
Local Applications (No Type Date): WO 2001US44901 A 20011130; AU 200219962 A 20011130; WO 2001US44901 A 20011130; US 2002130463 A 20020517; WO 2001US44901 A 20011130; JP 2002546955 A 20011130; WO 2001US44901 A 20011130; IN 2003KN822 A 20030624; WO 2001US44901 A 20011130; MX 20034820 A 20030529
Priority Applications (no., kind, date): US 2002130463 A 20020517; US 2000250342 P 20001130

16/AN,AZ,TI/17 (Item 17 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0012506286

Investment portfolio management method for investors, involves repurchasing securities sold to harvest tax losses and selling fund shares purchased for replacement of sold securities after minimum delay period

Original Titles:

Method and apparatus for tax efficient investment management
Method and apparatus for tax efficient investment management
Local Applications (No Type Date): US 1999322412 A 19990528; US 200251893 A 20020118; US 200251893 A 20020118
Priority Applications (no., kind, date): US 1999322412 A 19990528; US 200251893 A 20020118

16/AN,AZ,TI/18 (Item 18 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0010408744

Computerized investment optimizing method involves outputting optimal after tax investment strategy path that advises user of optimal investments to be made

Original Titles:

DURCH RECHNER GESTEUERTES SYSTEM UND VERFAHREN UM NACH DER STEUER GEWINNE DIE AUS OPTIONEN STAMMEN ZU OPTIMIEREN
COMPUTERIZED SYSTEM AND METHOD FOR OPTIMIZING AFTER-TAX PROCEEDS INVOLVING OPTIONS
SYSTEME INFORMATISE ET PROCEDE PERMETTANT D'OPTIMISER DES PRODUITS APRES IMPOTS FAISANT APPEL AUX OPTIONS
Computerized system and method for optimizing after-tax proceeds.
Local Applications (No Type Date): WO 2000US4283 A 20000218; US 1999253453 A 19990219; AU 200038591 A 20000218; KR 2001710584 A 20010820; EP 2000917646 A 20000218; WO 2000US4283 A 20000218; JP 2000600190 A 20000218; WO 2000US4283 A 20000218; WO 2000US4283 A

20000218; MX 20018365 A 20010817
Priority Applications (no., kind, date): US 1999253453 A 19990219

? show files;ds

File 348:EUROPEAN PATENTS 1978-2007/ 200716

(c) 2007 EUROPEAN PATENT OFFICE

File 349:PCT FULLTEXT 1979-2007/UB=20070426UT=20070319

(c) 2007 WIPO/Thomson

Set	Items	Description
S1	220173	STOCK OR STOCKS OR PORTFOLIO OR PORTFOLIOS OR SECURITIES OR SHARE OR SHARES OR (FINANCIAL OR INVESTMENT) () (VEHICLE OR VEHICLES OR PRODUCT OR PRODUCTS OR INSTRUMENT OR INSTRUMENTS) OR INVESTMENTS
S2	81846	TAX?? OR ASSESSMENT OR ASSESSMENTS OR TARIFF OR TARIFFS OR TAXATION OR IRS OR (INTERNAL OR INLAND) () REVENUE
S3	1292607	ROLLOVER OR ROLLOVERS OR ROLL??? (2W) (OVER OR OVERS) OR CONVERT??? OR CONVERSION OR CONVERSIONS OR TRANSFER OR TRANSFERS OR TRANSFERR??? OR WASH() SALE OR WASHSALE OR MANIPULAT???
S4	808123	FILTER??? OR SORTATION OR SYNTHESI? OR MASSAG??? OR DISTIL-??? OR CULL??? OR GLEAN??? OR PARS??? OR MASK??? OR (LEXICAL OR SYNTAX OR SYNTACTICAL) () ANALYS?S
S5	744479	AUTOMAT?? OR COMPUTERI? OR ELECTRONIC OR (PROGRAMMED OR DYNAMIC OR SMART OR INTELLIGENT) (2N) (AGENT OR AGENTS OR SERVO? - OR BOT OR BOTS OR SYSTEM OR SYSTEMS) OR SOFTBOT OR SOFTBOTS OR SOFT() (BOT OR BOTS) OR IA OR AI
S6	839	S1(10N)S2
S7	58934	S3(5N)S4
S8	4	S5(S)S6(S)S7
S9	127	S5(S)S6
S10	184885	IC=(G06F OR G06Q)
S11	109	S9 AND S10
S12	36	S5(10N)S6
S13	31	S10 AND S12
S14	32	S12(S) (S4 OR S5)
S15	28	S13(S) (S4 OR S5)
S16	31	S8 OR S15
S17	31	IDPAT (sorted in duplicate/non-duplicate order)
S18	31	IDPAT (primary/non-duplicate records only)

18/3,K/2 (Item 2 from file: 348)
DIALOG(R)File 348:EUROPEAN PATENTS
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01496347

**A COMPUTERIZED PORTFOLIO AND ASSESSMENT SYSTEM
PORTEFEUILLE INFORMATISE ET SYSTEME D'EVALUATION
PATENT ASSIGNEE:**

Nuventive, Llc, (4125630), Castle Town Square North, 4290 Route 8, Suite
205, Allison Park, PA 15101, (US), (Applicant designated States: all)

INVENTOR:

CHOBAN, Gary, M., 10343 Grubbs Road, Wexford, PA 15090, (US)

CHOBAN, David, 649 Water View Drive, Cranberry Township, PA 16066, (US)

PATENT (CC, No, Kind, Date):

WO 2002044855 020606

APPLICATION (CC, No, Date): EP 2001998882 011130; WO 2001US44901 011130

PRIORITY (CC, No, Date): US 250342 P 001130

DESIGNATED STATES: AT; BE; CH; CY; DE; DK; ES; FI; FR; GB; GR; IE; IT; LI;
LU; MC; NL; PT; SE; TR

EXTENDED DESIGNATED STATES: AL; LT; LV; MK; RO; SI

INTERNATIONAL PATENT CLASS (V7): G06F-001/00

LANGUAGE (Publication,Procedural,Application): English; English; English

A COMPUTERIZED PORTFOLIO AND ASSESSMENT SYSTEM

18/3,K/4 (Item 4 from file: 348)
DIALOG(R)File 348:EUROPEAN PATENTS
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01290330

**SYSTEM AND METHOD FOR DIVIDING A REMITTANCE AND DISTRIBUTING A PORTION OF
THE FUNDS TO MULTIPLE INVESTMENT PRODUCTS
SYSTEM UND VERFAHREN ZUR TRENNUNG VON UBERWEISUNGEN UND VERTEILUNG VON
TEILEN EINER GELDSUMME AUF MEHRERE INVESTITIONSGUTER
SYSTEME ET PROCEDE PERMETTANT DE DIVISER UN VERSEMENT ET DE REPARTIR UNE
PARTIE DES FONDS ENTRE PLUSIEURS PRODUITS D'INVESTISSEMENT**

PATENT ASSIGNEE:

American Express Company, (3303240), American Express Tower, World
Financial Center, New York City, NY 10285-4900, (US), (Proprietor
designated states: all)

INVENTOR:

CRANE, Suzanne, P., 5249 Pennsylvania Avenue N, New Hope, MN 55428, (US)

PRAIRIE, Joan, 2715 West 28th Street, Minneapolis, MN 55416, (US)

SCHLEGEL, Bonnie, 543 84th Circle NW, Coon Rapids, MN 55333, (US)

SHEIRE, Marcus, 1540 Osceola Avenue, St. Paul, MN 55105, (US)

SWEAZY, Mark, D., 15201 Crown Drive, Minnetonka, Minnesota 55345, (US)

LEGAL REPRESENTATIVE:

Hanna, Peter William Derek et al (72343), Hanna, Moore & Curley, 11
Mespil Road,, Dublin 4, (IE)

PATENT (CC, No, Kind, Date): EP 1222596 A1 020717 (Basic)

EP 1222596 B1 031203

WO 2001027827 010419

APPLICATION (CC, No, Date): EP 2000968416 000926; WO 2000US26440 000926

PRIORITY (CC, No, Date): US 415632 991012

DESIGNATED STATES: AT; BE; CH; CY; DE; DK; ES; FI; FR; GB; GR; IE; IT; LI;
LU; MC; NL; PT; SE

EXTENDED DESIGNATED STATES: AL; LT; LV; MK; RO; SI

INTERNATIONAL PATENT CLASS (V7): G06F-017/60; G07F-007/10

ABSTRACT WORD COUNT: 7920

NOTE:

No A-document published by EPO
LANGUAGE (Publication,Procedural,Application): English; English; English
FULLTEXT AVAILABILITY:

Available Text	Language	Update	Word Count
CLAIMS B	(English)	200349	603
CLAIMS B	(German)	200349	558
CLAIMS B	(French)	200349	822
SPEC B	(English)	200349	6855
Total word count - document A			0
Total word count - document B			8838
Total word count - documents A + B			8838

...SPECIFICATION 215), the system may incorporate any number of capabilities and functionalities which suitably enhance efficiencies and customer service. For example, the system may confirm **investment product** orders and trades, reconcile account balances, provide client statements, incorporate **tax** reporting summaries and suggestions, generate refund checks, reconcile money transfer amounts, process investment product withdrawals...

...transfer money and/or redeem mutual fund shares. Additionally, the system may provide manual or **automatic** services for managing client inquiries and requests and maintaining client data. Furthermore, cardholders may make...

18/3,K/9 (Item 9 from file: 349)
DIALOG(R) File 349:PCT FULLTEXT
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01254631 **Image available**

ENTERPRISE RISK ASSESSMENT MANAGER SYSTEM
SYSTEME DE GESTION ET D'EVALUATION DE RISQUES D'ENTREPRISE
Patent Applicant/Assignee:

DUN & BRADSTREET INC, 103 JFK Parkway, Short Hills, NJ 07078, US, US
(Residence), US (Nationality), (For all designated states except: US)

Patent Applicant/Inventor:

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(Residence), US (Nationality), (Designated only for: US)
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(Residence), US (Nationality), (Designated only for: US)
FREEMAN Judith A, 24 Young Way, Bridgewater, NJ 08807, US, US (Residence)
, US (Nationality), (Designated only for: US)
MALENE Pamela, 3406 Canyon Creek, Mechanicsburg, PA 17055, US, US
(Residence), US (Nationality), (Designated only for: US)

Legal Representative:

GREELEY Paul D (agent), Ohlandt, Greeley, Ruggiero & Perle, LLP, One
Landmark Square, 10th Floor, Stamford, CT 06901, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200560469 A2-A3 20050707 (WO 0560469)
Application: WO 2004US38114 20041115 (PCT/WO US04038114)
Priority Application: US 2003726023 20031202

Designated States:

(All protection types applied unless otherwise stated - for applications
2004+)

AE AG AL AM AT AU AZ BA BB BG BR BW BY BZ CA CH CN CO CR CU CZ DE DK DM
DZ EC EE EG ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC

LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NA NI NO NZ OM PG PH PL PT RO
RU SC SD SE SG SK SL SY TJ TM TN TR TT TZ UA UG US UZ VC VN YU ZA ZM ZW
(EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IS IT LU MC NL PL PT
RO SE SI SK TR
(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG
(AP) BW GH GM KE LS MW MZ NA SD SL SZ TZ UG ZM ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 4888

English Abstract

A risk assessment manager is an intranet-based software system that provides enterprise-wide **automated risk assessment** and **portfolio** management to help companies reduce bad debt, decrease operational expenses, and increase cash flow. System...

18/3,K/15 (Item 15 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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01121245

FINANCIAL PLANNING CONSTRAINTS FOR PORTFOLIO ANALYSIS

CONTRAINTES DE PLANIFICATION FINANCIERES POUR ANALYSE DE PORTEFEUILLES

Patent Applicant/Assignee:

MELLON INVESTORS LLC, Overpeck center, 85 Challenger Road, Ridgefield Park, NJ 07660, US, US (Residence), US (Nationality)

Inventor(s):

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DOWNING Curtis Burton, -,

Legal Representative:

DILMORE James G (agent), Reed Smith LLP, P.O. Box 488, Pittsburgh, PA
15230-0488, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200442532 A2-A3 20040521 (WO 0442532)
Application: WO 2003US35004 20031103 (PCT/WO US03035004)
Priority Application: US 2002423229 20021101

Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ
EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR
LS LT LU LV MA MD MG MK MN MW MX MZ NI NO NZ OM PG PH PL PT RO RU SC SD
SE SG SK SL SY TJ TM TN TR TT TZ UA UG UZ VC VN YU ZA ZM ZW
(EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IT LU MC NL PT RO SE
SI SK TR
(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG
(AP) BW GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW
(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 5656

Fulltext Availability:

Detailed Description

Detailed Description

... analysis tool would preferably incorporate these pieces of information while at the same time applying **automated** rules that allow for the

assessment and optimization of financial portfolios .

i

SUMMARY OF THE INVENTION

In accordance with the present invention, there are provided systems...

18/3,K/18 (Item 18 from file: 349)

DIALOG(R) File 349:PCT FULLTEXT

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00910735 **Image available**

A COMPUTERIZED PORTFOLIO AND ASSESSMENT SYSTEM

PORTEFEUILLE INFORMATISE ET SYSTEME D'EVALUATION

Patent Applicant/Assignee:

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Patent Applicant/Inventor:

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Legal Representative:

JENKINS David C (agent), Eckert Seamans Cherin & Mellott, LLC, 600 Grant Street, 44th Floor, Pittsburgh, PA 15219, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200244855 A2-A3 20020606 (WO 0244855)

Application: WO 2001US44901 20011130 (PCT/WO US0144901)

Priority Application: US 2000250342 20001130

Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ
EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS
LT LU LV MA MD MG MK MN MW MX MZ NO NZ OM PH PL PT RO RU SD SE SG SI SK
SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZM ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 15160

A COMPUTERIZED PORTFOLIO AND ASSESSMENT SYSTEM

Fulltext Availability:

Detailed Description

Claims

Detailed Description

A COMPUTERIZED PORTFOLIO AND ASSESSMENT SYSTEM

Cross Reference to Related Applicatio

This application claims the benefit of U.S. Provisional...assessment process that includes the steps of providing a computer software program that manages the assessment process and providing at least one computerized portfolio folder, wherein the program and the computerized portfolio are linked such that such program can selectively access and download items from the computerized portfolio folder into the computer program so that the computer program can manage the

assessment...items as measurement data in the assessment process. This selective accessing and downloading provides an **automated** and flexible method to allow **assessment** evaluators to sample items from the **computerized portfolios**. The selective accessing and downloading is accomplished, preferably, by using a rule-based engine.

For...

Claim

... said user.

4 An assessment process comprising:
providing a computer software program that manages said **assessment** process; and
providing at least one **computerized portfolio** folder;
wherein said program and said **computerized portfolio** are linked such that such program can selectively access and download items from said **computerized**
29
portfolio folder into said computer program so that said computer program can manage said...

18/3,K/19 (Item 19 from file: 349)
DIALOG(R) File 349:PCT FULLTEXT
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00816846 **Image available**

BUSINESS MANAGEMENT SYSTEM

SYSTEME DE GESTION D'AFFAIRES

Patent Applicant/Assignee:

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(Residence), US (Nationality), (For all designated states except: US)

Patent Applicant/Inventor:

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, NG (Nationality)

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PEREZ Daniel F (et al) (agent), Bickel & Brewer, 4800 Bank One Center,
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Patent and Priority Information (Country, Number, Date):

Patent: WO 200150385 A2 20010712 (WO 0150385)

Application: WO 2000US35383 20001221 (PCT/WO US0035383)

Priority Application: US 99476754 19991230

Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE
ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT
LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM
TR TT TZ UA UG US UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 15004

Fulltext Availability:
Claims

Claim
... of investment.

42 The method of claim 37 further comprising the step of performing an
automated risk assessment on the portfolio .
43 The method of claim 37 wherein the display format is a text report and
...

18/3,K/24 (Item 24 from file: 349)
DIALOG(R) File 349:PCT FULLTEXT
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00761428

METHOD AND DATA PROCESS SYSTEM FOR ANALYSING AND TIMING BUY/SELL TIPS AS AN
AID TO INVESTMENT DECISION MAKING ON A TRADEABLE ASSET OR SECURITY
PROCEDE ET SYSTEME DE TRAITEMENT DE DONNEES DESTINES A ANALYSER ET A
DETERMINER UN CALENDRIER DE CONSEILS D'ACHAT/VENTE COMME AIDE A LA
DECISION D'INVESTIR SUR UN ACTIF OU UN TITRE NEGOCIABLE

Patent Applicant/Assignee:

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designated states except: US)

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(Residence), AU (Nationality), (Designated only for: US)

Legal Representative:

FREEHILLS CARTER SMITH & BEADLE, 101 Collins Street, Melbourne, VIC 3000,
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Patent and Priority Information (Country, Number, Date):

Patent: WO 200073946 A1 20001207 (WO 0073946)
Application: WO 2000AU551 20000525 (PCT/WO AU0000551)
Priority Application: AU 99593 19990527

Designated States:

(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM DZ EE ES
FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU
LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR
TT TZ UA UG US UZ VN YU ZA ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 33444

Fulltext Availability:
Claims

Claim
... INDUSTRIAL STOCKS-M.CAP @o 200M MINING AND OIL-M CAP. > 200M
STOCKNAME YIELD EF. TAX PRICE ALCAPINLIJ STOCK NAME YIELD EF. IA

MIRAGE RESORTS TRUST 8.02 0.00 2.80 252 QUEENSLAND COAL TRUST 15.10...

18/3,K/26 (Item 26 from file: 349)
DIALOG(R) File 349:PCT FULLTEXT
(c) 2007 WIPO/Thomson. All rts. reserv.

00745526 **Image available**

**PORTFOLIO INVESTMENT GUIDELINE COMPLIANCE AND FINANCIAL FUND ADMINISTRATION
SYSTEM**

**SYSTEME DE GESTION DE FONDS FINANCIERS ET DE CONFORMITE AUX DIRECTIVES EN
MATIERE D'INVESTISSEMENT DE PORTEFEUILLE**

Patent Applicant/Assignee:

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MANDEL Boris, 3-08 26th Street, Fair Lawn, NJ 07410, US
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MILLER William C, 107 Westminster Drive, Pearl River, NY 10965, US
KUMAR Rajesh, 1 Gilbert Road, Chafford Hundred, Grays, Essex RM16 6NN, GB

Legal Representative:

MURTHA James J, Orrick, Herrington & Sutcliffe LLP, 666 Fifth Avenue, New
York, NY 10103, US

Patent and Priority Information (Country, Number, Date):

Patent: WO 200058900 A1 20001005 (WO 0058900)
Application: WO 2000US8642 20000331 (PCT/WO US0008642)
Priority Application: US 99127273 19990331; US 2000516377 20000301

Designated States:

(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)

AL AU BA BB BG BR CA CN CU CZ EE GE HU ID IL IS JP KP KR LC LK LR LT LV
MG MK MN MX NO NZ PL RO SG SI SK SL TR TT UA UZ VN YU
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
(AP) GH GM KE LS MW SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 51390

Fulltext Availability:

Detailed Description

Detailed Description

... reports of the financial instruments in the fund, as well as the
characteristics of those **financial instruments**. For example, the
System may be employed for **tax** reporting services. Offering tax
services in an **automated**, rather than a manual environment, will
improve the quality, reduce risk and improve the timeliness...

18/3,K/27 (Item 27 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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00738056 **Image available**

AUTOMATIC ORDERING METHOD AND SYSTEM FOR TRADING OF STOCK, BOND, ITEM,
FUTURE INDEX, OPTION, INDEX, CURRENT AND SO ON
PROCEDE AUTOMATIQUE DE COMMANDE ET SYSTEME D'OPERATIONS SUR ACTIONS,
OBLIGATIONS, EFFETS DE PAIEMENT, CONTRATS A TERME, OPTIONS, INDICES,
DEVICES ET AUTRES

Patent Applicant/Inventor:

CHA Min Ho, 109-204 Daeah Apt., Kayang-dong, Kangseo-gu, Seoul 157-200,
KR, KR (Residence), KR (Nationality)

Legal Representative:

KIM Yeon Soo, Kim, Jung & Co., International Patent & Law Firm, 648-23,
Yeoksam-dong, Kangnam-gu, Seoul 135-080, KR

Patent and Priority Information (Country, Number, Date):

Patent: WO 200051043 A1 20000831 (WO 0051043)

Application: WO 2000KR125 20000216 (PCT/WO KR0000125)

Priority Application: KR 996108 19990224; KR 9937343 19990903

Designated States:

(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)

AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM EE ES FI GB
GD GE GH GM HR HU ID IL IN IS JP KE KG KP KZ LC LK LR LS LT LU LV MA MD
MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG
US UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: Korean

Fulltext Word Count: 9017

Fulltext Availability:

Detailed Description

Detailed Description

... quantity 602 in the automatic trade condition establishes a
standard selling and purchase at every **automatic** trade. Fee rates (and
tax) of **securities** company to be paid at the **share** trading are
entered into a column 604, which is not essential but conducive to
calculation of profits after the stock trading. Purchase price and
selling quantity of **automatic** trade are
established at columns 606, 608, 610 and 612. The purchase price may be
...

18/3,K/28 (Item 28 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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00736183 **Image available**

COMPUTERIZED SYSTEM AND METHOD FOR OPTIMIZING AFTER-TAX PROCEEDS INVOLVING
OPTIONS
SYSTEME INFORMATISE ET PROCEDE PERMETTANT D'OPTIMISER DES PRODUITS APRES
IMPOTS FAISANT APPEL AUX OPTIONS

Patent Applicant/Assignee:

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99503, US, US (Residence), US (Nationality)
Inventor(s):
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Legal Representative:
NATOLI Anthony J (agent), Brown Raysman Millstein Felder & Steiner, LLP,
120 West Forty-Fifth Street, New York, NY 10036, US,
Patent and Priority Information (Country, Number, Date):
Patent: WO 200049516 A1 20000824 (WO 0049516)
Application: WO 2000US4283 20000218 (PCT/WO US0004283)
Priority Application: US 99253453 19990219
Designated States:
(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)
AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES FI GB GD GE GH
GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK MN
MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG UZ VN YU ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
(AP) GH GM KE LS MW SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 26073

Fulltext Availability:
Claims

Claim

... lot-by-lot basis from the plurality of investment strategies, wherein
the outputted optimal after- **tax** investment strategy path advises a user
of optimal **investments** to be made.

2 The **computerized** method of claim 1, wherein the predetermined
software program is a spreadsheet program.
. The **computerized** method of claim 2, wherein the tax loss harvesting
analysis, the comparative pro-forma tax...

18/AN,AZ,TI/1 (Item 1 from file: 348)
DIALOG(R)File 348:(c) 2007 EUROPEAN PATENT OFFICE. All rts. reserv.

02059858
Systems and methods for secure transaction management and electronic rights protection
System und Verfahren fur sichere Transaktionsverwaltung und elektronischen Rechteschutz
Systemes et procedes de gestion de transactions securisees et de protection des droits electroniques
APPLICATION (CC, No, Date): EP 2006075503 960213;
PRIORITY (CC, No, Date): US 388107 950213

18/AN,AZ,TI/2 (Item 2 from file: 348)
DIALOG(R)File 348:(c) 2007 EUROPEAN PATENT OFFICE. All rts. reserv.

01496347
A COMPUTERIZED PORTFOLIO AND ASSESSMENT SYSTEM
PORTEFEUILLE INFORMATISE ET SYSTEME D'EVALUATION
APPLICATION (CC, No, Date): EP 2001998882 011130; WO 2001US44901 011130
PRIORITY (CC, No, Date): US 250342 P 001130

18/AN,AZ,TI/3 (Item 3 from file: 348)
DIALOG(R)File 348:(c) 2007 EUROPEAN PATENT OFFICE. All rts. reserv.

01310699
Method and system for tracking screen activities in online transactions
Verfahren und System zum Verfolgen von Bildschirmaktivitaten bei Online-Transaktionen
Methode et systeme pour suivre les activites sur un ecran lors des transactions en ligne
APPLICATION (CC, No, Date): EP 2001300722 010126;
PRIORITY (CC, No, Date): US 492559 000127

18/AN,AZ,TI/4 (Item 4 from file: 348)
DIALOG(R)File 348:(c) 2007 EUROPEAN PATENT OFFICE. All rts. reserv.

01290330
SYSTEM AND METHOD FOR DIVIDING A REMITTANCE AND DISTRIBUTING A PORTION OF THE FUNDS TO MULTIPLE INVESTMENT PRODUCTS
SYSTEM UND VERFAHREN ZUR TRENNUNG VON UBERWEISUNGEN UND VERTEILUNG VON TEILEN EINER GELDSUMME AUF MEHRERE INVESTITIONSGUTER
SYSTEME ET PROCEDE PERMETTANT DE DIVISER UN VERSEMENT ET DE REPARTIR UNE PARTIE DES FONDS ENTRE PLUSIEURS PRODUITS D'INVESTISSEMENT
APPLICATION (CC, No, Date): EP 2000968416 000926; WO 2000US26440 000926
PRIORITY (CC, No, Date): US 415632 991012

18/AN,AZ,TI/5 (Item 5 from file: 348)
DIALOG(R)File 348:(c) 2007 EUROPEAN PATENT OFFICE. All rts. reserv.

01189553
METHOD AND APPARATUS FOR PROCESSING BUSINESS INFORMATION FROM MULTIPLE ENTERPRISES
VERFAHREN UND APPARAT ZUM VERARBEITEN VON GESCHAFTSINFORMATIONEN AUS MEHREREN UNTERNEHMEN

**PROCEDE ET DISPOSITIF POUR TRAITER LES INFORMATIONS COMMERCIALES DE
PLUSIEURS ENTREPRISES**

APPLICATION (CC, No, Date): EP 2000901410 000113; WO 2000US728 000113
PRIORITY (CC, No, Date): US 231819 990115

18/AN,AZ,TI/6 (Item 6 from file: 349)
DIALOG(R)File 349:(c) 2007 WIPO/Thomson. All rts. reserv.

01392494
**SYSTEM AND METHOD FOR AN AUTOMATED PROJECT OFFICE AND AUTOMATIC RISK
ASSESSMENT AND REPORTING**
**SYSTEME ET PROCEDE POUR BUREAU DE PROJET AUTOMATIQUE, EVALUATION ET
NOTIFICATION AUTOMATIQUE DE RISQUE**
Application: WO 2005US47212 20051230 (PCT/WO US2005047212)

18/AN,AZ,TI/7 (Item 7 from file: 349)
DIALOG(R)File 349:(c) 2007 WIPO/Thomson. All rts. reserv.

01344631
ARCHITECTURE FOR ENTERPRISE DATA INTEGRATION SYSTEMS
ARCHITECTURE POUR DES SYSTEMES D'INTEGRATION DE DONNEES D'ENTREPRISE
Application: WO 2005US30993 20050831 (PCT/WO US2005030993)

18/AN,AZ,TI/8 (Item 8 from file: 349)
DIALOG(R)File 349:(c) 2007 WIPO/Thomson. All rts. reserv.

01307253
**METHOD AND SYSTEM FOR PROCESSING TAX PERTAINING TO A GOODS AND SERVICES
TRANSACTION**
**PROCEDE ET SYSTEME DE TRAITEMENT DE TAXES ASSOCIEES A UNE TRANSACTION DE
BIENS ET DE SERVICES**
Application: WO 2005US17759 20050519 (PCT/WO US2005017759)

18/AN,AZ,TI/9 (Item 9 from file: 349)
DIALOG(R)File 349:(c) 2007 WIPO/Thomson. All rts. reserv.

01254631
ENTERPRISE RISK ASSESSMENT MANAGER SYSTEM
SYSTEME DE GESTION ET D'EVALUATION DE RISQUES D'ENTREPRISE
Application: WO 2004US38114 20041115 (PCT/WO US04038114)

18/AN,AZ,TI/10 (Item 10 from file: 349)
DIALOG(R)File 349:(c) 2007 WIPO/Thomson. All rts. reserv.

01245519
COMPUTER CONTROL SYSTEM FOR NON-DEBT HOME FINANCING
**SYSTEME DE CONTROLE INFORMATIQUE POUR LE FINANCEMENT DOMESTIQUE NON
CREATEUR D'ENDETTEMENT**
Application: WO 2004US39041 20041119 (PCT/WO US04039041)

18/AN,AZ,TI/11 (Item 11 from file: 349)
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01243408

**SYSTEM, METHOD, AND COMPUTER PROGRAM PRODUCT FOR TRANSACTING BUSINESS
SYSTEME, PROCEDE ET PRODUIT PROGRAMME INFORMATIQUE PERMETTANT DE REALISER
DES OPERATIONS**

Application: WO 2004CH668 20041104 (PCT/WO CH04000668)

18/AN,AZ,TI/12 (Item 12 from file: 349)
DIALOG(R)File 349:(c) 2007 WIPO/Thomson. All rts. reserv.

01203600

TERM-BASED CONCEPT MARKET

SYSTEME DE COMMERCIALISATION REPOSANT SUR UN CONCEPT FONDE SUR DES TERMES

Application: WO 2004US23485 20040721 (PCT/WO US04023485)

18/AN,AZ,TI/13 (Item 13 from file: 349)
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01203599

CONCEPT VALUATION IN A TERM-BASED CONCEPT MARKET

EVALUATION DE CONCEPT DANS UN MARCHE DE CONCEPT FONDE SUR DES TERMES

Application: WO 2004US23484 20040721 (PCT/WO US2004023484)

18/AN,AZ,TI/14 (Item 14 from file: 349)
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01203586

TERM-BASED CONCEPT INSTRUMENTS

INSTRUMENTS POUR CONCEPTS BASES SUR DES TERMES

Application: WO 2004US23446 20040721 (PCT/WO US04023446)

18/AN,AZ,TI/15 (Item 15 from file: 349)
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01121245

FINANCIAL PLANNING CONSTRAINTS FOR PORTFOLIO ANALYSIS

CONTRAINTES DE PLANIFICATION FINANCIERES POUR ANALYSE DE PORTEFEUILLES

Application: WO 2003US35004 20031103 (PCT/WO US03035004)

18/AN,AZ,TI/16 (Item 16 from file: 349)
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01120428

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SYSTEME DE TRAITEMENT D'INFORMATIONS POUR DETERMINER DES INFORMATIONS

FISCALES

Application: WO 2003US34352 20031028 (PCT/WO US03034352)

18/AN,AZ,TI/17 (Item 17 from file: 349)
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01008584

SYSTEM AND METHOD FOR IMPLEMENTING EMPLOYEE STOCK PLANS

**SYSTEME ET PROCEDE PERMETTANT DE METTRE EN OEUVRE UN REGIME D'ACTIONNARIAT
DE SALARIES**

Application: WO 2002US35255 20021031 (PCT/WO US0235255)

18/AN,AZ,TI/18 (Item 18 from file: 349)
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00910735

A COMPUTERIZED PORTFOLIO AND ASSESSMENT SYSTEM
PORTEFEUILLE INFORMATISE ET SYSTEME D'EVALUATION
Application: WO 2001US44901 20011130 (PCT/WO US0144901)

18/AN,AZ,TI/19 (Item 19 from file: 349)
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00816846

BUSINESS MANAGEMENT SYSTEM
SYSTEME DE GESTION D'AFFAIRES
Application: WO 2000US35383 20001221 (PCT/WO US0035383)

18/AN,AZ,TI/20 (Item 20 from file: 349)
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00814145

A METHOD FOR EXECUTING A NETWORK-BASED CREDIT APPLICATION PROCESS
PROCEDE DE MISE EN OEUVRE D'UN PROCESSUS DE DEMANDE DE CREDIT EN RESEAU
Application: WO 2000US35216 20001222 (PCT/WO US0035216)

18/AN,AZ,TI/21 (Item 21 from file: 349)
DIALOG(R)File 349:(c) 2007 WIPO/Thomson. All rts. reserv.

00806392

TECHNOLOGY SHARING DURING ASSET MANAGEMENT AND ASSET TRACKING IN A
NETWORK-BASED SUPPLY CHAIN ENVIRONMENT AND METHOD THEREOF
PARTAGE TECHNOLOGIQUE LORS DE LA GESTION ET DU SUIVI DU PARC INFORMATIQUE
DANS UN ENVIRONNEMENT DU TYPE CHAINE D'APPROVISIONNEMENT RESEAUTE, ET
PROCEDE ASSOCIE
Application: WO 2000US32310 20001122 (PCT/WO US0032310)

18/AN,AZ,TI/22 (Item 22 from file: 349)
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00806384

NETWORK AND LIFE CYCLE ASSET MANAGEMENT IN AN E-COMMERCE ENVIRONMENT AND
METHOD THEREOF
GESTION D'ACTIFS DURANT LE CYCLE DE VIE ET EN RESEAU DANS UN ENVIRONNEMENT
DE COMMERCE ELECTRONIQUE ET PROCEDE ASSOCIE
Application: WO 2000US32324 20001122 (PCT/WO US0032324)

18/AN,AZ,TI/23 (Item 23 from file: 349)
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00806383

COLLABORATIVE CAPACITY PLANNING AND REVERSE INVENTORY MANAGEMENT DURING
DEMAND AND SUPPLY PLANNING IN A NETWORK-BASED SUPPLY CHAIN ENVIRONMENT
AND METHOD THEREOF
PLANIFICATION EN COLLABORATION DES CAPACITES ET GESTION ANTICIPEE DES

STOCKS LORS DE LA PLANIFICATION DE L'OFFRE ET DE LA DEMANDE DANS UN
ENVIRONNEMENT DE CHAINE D'APPROVISIONNEMENT FONDEE SUR LE RESEAU ET
PROCEDE ASSOCIE

Application: WO 2000US32309 20001122 (PCT/WO US0032309)

18/AN,AZ,TI/24 (Item 24 from file: 349)

DIALOG(R)File 349:(c) 2007 WIPO/Thomson. All rts. reserv.

00761428

METHOD AND DATA PROCESS SYSTEM FOR ANALYSING AND TIMING BUY/SELL TIPS AS AN
AID TO INVESTMENT DECISION MAKING ON A TRADEABLE ASSET OR SECURITY
PROCEDE ET SYSTEME DE TRAITEMENT DE DONNEES DESTINES A ANALYSER ET A
DETERMINER UN CALENDRIER DE CONSEILS D'ACHAT/VENTE COMME AIDE A LA
DECISION D'INVESTIR SUR UN ACTIF OU UN TITRE NEGOCIABLE

Application: WO 2000AU551 20000525 (PCT/WO AU0000551)

18/AN,AZ,TI/25 (Item 25 from file: 349)

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00755450

METHOD OF SOCIAL NETWORK GENERATION FOR CUSTOMER RELATIONSHIPS
PROCEDE POUR GENERER UN RESEAU SOCIAL

Application: WO 2000US13132 20000512 (PCT/WO US0013132)

18/AN,AZ,TI/26 (Item 26 from file: 349)

DIALOG(R)File 349:(c) 2007 WIPO/Thomson. All rts. reserv.

00745526

PORTFOLIO INVESTMENT GUIDELINE COMPLIANCE AND FINANCIAL FUND ADMINISTRATION
SYSTEM
SYSTEME DE GESTION DE FONDS FINANCIERS ET DE CONFORMITE AUX DIRECTIVES EN
MATIERE D'INVESTISSEMENT DE PORTEFEUILLE

Application: WO 2000US8642 20000331 (PCT/WO US0008642)

18/AN,AZ,TI/27 (Item 27 from file: 349)

DIALOG(R)File 349:(c) 2007 WIPO/Thomson. All rts. reserv.

00738056

AUTOMATIC ORDERING METHOD AND SYSTEM FOR TRADING OF STOCK, BOND, ITEM,
FUTURE INDEX, OPTION, INDEX, CURRENT AND SO ON
PROCEDE AUTOMATIQUE DE COMMANDE ET SYSTEME D'OPERATIONS SUR ACTIONS,
OBLIGATIONS, EFFETS DE PAIEMENT, CONTRATS A TERME, OPTIONS, INDICES,
DEVICES ET AUTRES

Application: WO 2000KR125 20000216 (PCT/WO KR0000125)

18/AN,AZ,TI/28 (Item 28 from file: 349)

DIALOG(R)File 349:(c) 2007 WIPO/Thomson. All rts. reserv.

00736183

COMPUTERIZED SYSTEM AND METHOD FOR OPTIMIZING AFTER-TAX PROCEEDS INVOLVING
OPTIONS
SYSTEME INFORMATISE ET PROCEDE PERMETTANT D'OPTIMISER DES PRODUITS APRES
IMPOTS FAISANT APPEL AUX OPTIONS

Application: WO 2000US4283 20000218 (PCT/WO US0004283)

18/AN,AZ,TI/29 (Item 29 from file: 349)
DIALOG(R)File 349:(c) 2007 WIPO/Thomson. All rts. reserv.

00579180

METHOD AND APPARATUS FOR PROCESSING BUSINESS INFORMATION FROM MULTIPLE
ENTERPRISES

PROCEDE ET DISPOSITIF POUR TRAITER LES INFORMATIONS COMMERCIALES DE
PLUSIEURS ENTREPRISES

Application: WO 2000US728 20000113 (PCT/WO US0000728)

18/AN,AZ,TI/30 (Item 30 from file: 349)
DIALOG(R)File 349:(c) 2007 WIPO/Thomson. All rts. reserv.

00555951

TRUSTED COMPARTMENTALIZED COMPUTER OPERATING SYSTEM

SYSTEME D'EXPLOITATION D'ORDINATEUR A PARTITIONNEMENT DE SECURITE

Application: WO 99US22331 19990928 (PCT/WO US9922331)

18/AN,AZ,TI/31 (Item 31 from file: 349)
DIALOG(R)File 349:(c) 2007 WIPO/Thomson. All rts. reserv.

00395510

INTEGRATED FINANCIAL INVESTMENT SERVICES INFORMATION SYSTEM

SYSTEME INTEGRE POUR LE TRAITEMENT D'INFORMATIONS SUR DES SERVICES
D'INVESTISSEMENTS FINANCIERS

Application: WO 97US4474 19970320 (PCT/WO US9704474)

? show files;ds

File 2:INSPEC 1898-2007/Apr W4
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File 474:New York Times Abs 1969-2007/May 03
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File 475:Wall Street Journal Abs 1973-2007/May 03
(c) 2007 The New York Times
File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13
(c) 2002 The Gale Group
File 256:TecInfoSource 82-2007/Apr
(c) 2007 Info.Sources Inc
File 139:EconLit 1969-2007/Apr
(c) 2007 American Economic Association

Set	Items	Description
S1	920600	STOCK OR STOCKS OR PORTFOLIO OR PORTFOLIOS OR SECURITIES OR SHARE OR SHARES OR (FINANCIAL OR INVESTMENT) () (VEHICLE OR VEHICLES OR PRODUCT OR PRODUCTS OR INSTRUMENT OR INSTRUMENTS) OR INVESTMENTS
S2	348816	TAX?? OR TARIFF OR TARIFFS OR TAXATION OR IRS OR (INTERNAL OR INLAND) () REVENUE
S3	1197040	ROLLOVER OR ROLLOVERS OR ROLL??? (2W) (OVER OR OVERS) OR CONVERT??? OR CONVERSION OR CONVERSIONS OR TRANSFER OR TRANSFERS OR TRANSFERR??? OR WASH () SALE OR WASHSALE OR MANIPULAT???
S4	895924	FILTER??? OR SORTATION OR SYNTHESI? OR MASSAG??? OR DISTIL-???? OR CULL??? OR GLEAN??? OR PARS??? OR MASK??? OR (LEXICAL OR SYNTAX OR SYNTACTICAL) () ANALYS?S
S5	1384220	AUTOMAT?? OR COMPUTERI? OR ELECTRONIC OR (PROGRAMMED OR DYNAMIC OR SMART OR INTELLIGENT) (2N) (AGENT OR AGENTS OR SERVO? - OR BOT OR BOTS OR SYSTEM OR SYSTEMS) OR SOFTBOT OR SOFTBOTS OR SOFT () (BOT OR BOTS) OR IA OR AI
S6	15830	S1 (10N) S2
S7	13919	S3 (5N) S4
S8	0	S5 (S) S6 (S) S7
S9	122	S5 (S) S6
S10	14	S9 (S) (S3 OR S4)
S11	24	S5 (10N) S6
S12	36	S10 OR S11
S13	34	S12 NOT PY>2001
S14	33	S13 NOT PD=20010630:20070630
S15	32	RD (unique items)

15/3,K/1 (Item 1 from file: 2)
DIALOG(R)File 2:INSPEC
(c) 2007 Institution of Electrical Engineers. All rts. reserv.

05519548

Title: Product review: Tax Management Portfolios Plus
Author(s): Zuckerman, L.K.
Journal: Accounting Technology vol.9, no.6 p.43-4
Publication Date: Aug. 1993 Country of Publication: USA
ISSN: 0883-1866
Language: English
Subfile: D

Abstract: Tax Management has released its complete, unabridged US Income Portfolio Series; Estates, Gift & Trusts Portfolio Series; and Foreign Income Portfolio Series on one compact disc- Tax Management Portfolios Plus (TMCD). TMCD offers all the advantages of electronic tax research and CD-ROM technology. For starters, you save a lot of expensive office space...

15/3,K/2 (Item 2 from file: 2)
DIALOG(R)File 2:INSPEC
(c) 2007 Institution of Electrical Engineers. All rts. reserv.

03399187 INSPEC Abstract Number: D85000555

Title: Examining financial planning software
Author(s): Cole, R.S.; Ross, G.
Journal: Best's Review - Life/Health Insurance Edition vol.85, no.7
p.74-8
Publication Date: Nov. 1984 Country of Publication: USA
CODEN: BRLHB5 ISSN: 0005-9706
Language: English
Subfile: D

...Abstract: service rendered must be 'personal', yet, from a practical standpoint, it requires the collection, analysis, manipulation, storage and retrieval of an ever-growing amount of client information. Today's financial planner has many computer systems to choose from: calculation based on a personal computer; electronic spreadsheet packages; integrated systems where client data is entered once, stored on a database and is used by all the calculations and multi...

...and easier to use but must be constantly updated to keep up with changes in tax laws and investment vehicles. In choosing your system, research and planning time should be spent to understand how your...

15/3,K/6 (Item 2 from file: 474)
DIALOG(R)File 474:New York Times Abs
(c) 2007 The New York Times. All rts. reserv.

05049646 NYT Sequence Number: 108748870716
A.D.R.'S IN LONDON
Reuters
New York Times, Col. 3, Pg. 15, Sec. 4
Thursday July 16 1987

ABSTRACT:
Official trading in American depository receipts will begin in London

August 3; London Stock Exchange has cleared the new market with British tax authorities, and its automated quotation system will be used (S)

15/3,K/14 (Item 10 from file: 474)
DIALOG(R)File 474:New York Times Abs
(c) 2007 The New York Times. All rts. reserv.

00699531 NYT Sequence Number: 060367760207

(Ed remarks that 'self-defeating' stock transfer tax imposed on brokerage houses by NY in '75 is causing shift of Wall St firms to NJ. Holds NYC is still moving in in reverse in its own job-creation drive, despite high priority assigned to endeavor by both Mayor Beame and Gov Carey. Observes that city, which once ranked with Milwaukee as fountainhead of Amer beer indus, is about to lose last of its breweries. Notes that high cost of utilities and local taxes is causing both Rheingold and Schaefer, survivors of indus that once included 70 brewers, to abandon their Bklyn plants. Asserts when elec power costs nearly 3 times as much on this side of Hudson as it does on other and water twice as much, is it clear that Beame's admirable plan to attract new indus to city has to surmount some extreme handicaps. Argues that incentives more substantial than property-tax relief and reduced land rentals city now plans to offer are needed. Declares that New Yorkers will also have to make up their own minds about how seriously they want new enterprises. Observes that every fresh project brings almost automatic protest from community groups. Notes projected \$40-million amusement pk on vacant land now zoned for indus in Staten Island has elicited such vehement neighborhood opposition that sponsors are considering transferring it to Meadowlands in NJ. Holds with pk would go estimated \$2-million a yr in new city taxes, thousands of construction jobs for idle bldg trades workers and upwards of

New York Times, Col. 1, Pg. 20
Saturday February 7 1976

(Ed remarks that 'self-defeating' stock transfer tax imposed on brokerage houses by NY in '75 is causing shift of Wall St firms...

...minds about how seriously they want new enterprises. Observes that every fresh project brings almost automatic protest from community groups. Notes ...for indus in Staten Island has elicited such vehement neighborhood opposition that sponsors are considering transferring it to Meadowlands in NJ. Holds with pk would go estimated \$2-million a yr...

15/3,K/15 (Item 11 from file: 474)
DIALOG(R)File 474:New York Times Abs
(c) 2007 The New York Times. All rts. reserv.

00681144 NYT Sequence Number: 041980760505

(Ed on recommendations of newly formed Council on the NY Economy for tax relief to improve business climate in NYC and NYS. Lauds proposal to repeal NYC estate tax, which it calls counterproductive, but says it is not clear that NYC stock transfer tax is main reason some brokerage houses are moving to New Jersey. Holds stock transfer tax is negligible factor in investment decisions of small investors, while large block traders have their own incentives for shopping around among regional exchs. Says NYSE and ASE are entrenched as primary marketplaces, and although primacy may be threatened by natl electronic mkt system, this will not occur in next few yrs, with or without stock transfer

tax . Urges Council to make its own analysis of stock transfer tax.
)

New York Times, Col. 2, Pg. 40
Wednesday May 5 1976

...NYC estate tax, which it calls counterproductive, but says it is not clear that NYC stock transfer tax is main reason some brokerage houses are moving to New Jersey. Holds stock transfer tax is negligible factor in investment decisions of small investors, while large block traders have their...
...and ASE are entrenched as primary marketplaces, and although primacy may be threatened by natl electronic mkt system, this will not occur in next few yrs, with or without stock transfer tax . Urges Council to make its own analysis of stock transfer tax.)

15/3,K/16 (Item 1 from file: 475)
DIALOG(R)File 475:Wall Street Journal Abs
(c) 2007 The New York Times. All rts. reserv.

05510271
SETTLEMENT IN TAX-SHELTER SCAM IS NEAR
Wall Street Journal, Col. 1, Pg. 15, Sec. 2
Tuesday September 19 1989

ABSTRACT:

Legal Beat column notes Federal court is expected to approve settlement in nation's largest tax -shelter scam involving investments in Energy Control System, electronic switch; other legal news (S)

15/3,K/21 (Item 3 from file: 583)
DIALOG(R)File 583:Gale Group Globalbase(TM)
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09098071
Rise and rise of the national stealth service
UK: SHARE TRANSFERS TO COST MORE
Daily Telegraph (DT) 01 May 1999 Business p. 3
Language: ENGLISH

... Parliament, the Finance Bill contains a little-publicised change affecting the Stamp Duty on share transfers . As from October 1999, the fixed duty on transfer of shares will rise from GBt 0.50 per transfer to GBt 5. Those who wish to transfer shareholdings into electronic nominee services, or to transfer stock between husband and wife, will be affected by the change in duty. The Inland Revenue wants to equalise the tax treatment of paper-based and electronic share dealing and expects the change to affect about 1mn transfers a year. Brokers say they absorb the current GBt 0.50 duty but may have...

15/3,K/24 (Item 6 from file: 583)
DIALOG(R)File 583:Gale Group Globalbase(TM)
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06616868
Capital gains 'too complex' for software
UK: SHARE TRADING TAX BECOMES MORE COMPLICATED
Financial Times (FT) 21 April 1998 p.9

Language: ENGLISH

... meeting of tax experts from the top investment banks concluded that the calculation of UK **tax** rules for **share** trading, as proposed in the latest finance bill, cannot be **automated** via computer software because they are too complicated. Working out such tax liabilities is vital...

15/3,K/25 (Item 7 from file: 583)
DIALOG(R)File 583:Gale Group Globalbase(TM)
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06548713
BRAZIL : CHANGES IN THE STOCK STRATEGY
BRAZIL : CHANGES IN THE STOCK STRATEGY
Gazeta Mercantil (GMI) 18 Nov 1997 p. A-8
Language: PORTUGUESE

... couple of weeks are signals that some industries are running with an excessive level of **stocks**. After the **Tax** Adjustment done by the Brazilian Government, mainly the automotive and **electronic** industry which had been increasing the production even with no demand growth expectations, need to...

... large number of defaults, the retailers decided to work with a just in time strategy **transferring** the stocks for the industry. Analysts believe that consumers are more careful mainly on purchasing goods with higher values due the interest rate level and tax adjustment and will **transfer** their purchasing power to smaller products as clothes and footwear, for example. *

15/3,K/26 (Item 8 from file: 583)
DIALOG(R)File 583:Gale Group Globalbase(TM)
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06321748
SIB urges key change to trading system
UK: SIB AND STOCK EXCHANGE DIFFER ON TAX RELIEF
Independent (TI) 08 Jun 1996 p. 20
Language: ENGLISH

City regulator, the **Securities** and Investment Board(SIB) and the **Stock** Exchange disagree about **tax** relief for traders on the new **electronic** trading system. To qualify for tax relief, firms have to show they are helping overall market liquidity. The SIB is advocating that traders stay linked to the **electronic** order book which would provide the justification for **tax** relief but the **Stock** Exchange wants to let traders deal bilaterally on the telephone, so-called off-market trading...

15/3,K/32 (Item 2 from file: 139)
DIALOG(R)File 139:EconLit
(c) 2007 American Economic Association. All rts. reserv.

708742
TITLE: A Quantitative Assessment of Electronic Commerce.
AUTHOR(S): Schuknecht, L.; Perez-Esteve, R.
AUTHOR(S) AFFILIATION: Unlisted; Unlisted
PUBLICATION INFORMATION: Stanford - Institute for Thoretical Economics,

Stanford - Institute for Thoretical Economics PAGES: 33 pages
PUBLICATION DATE: 1999
DOCUMENT TYPE: Working Paper
ABSTRACT INDICATOR: Abstract

ABSTRACT: This paper tries to assess quantitatively the role of **electronic** commerce in economic activity and in trade and **tariff** revenue collection. The **share** of value added that potentially lends itself to **electronic** trade represents around 30 percent of GDP, most importantly distribution, finance and business services. Electronic...

15/6/1 (Item 1 from file: 2)
05519548
Title: Product review: Tax Management Portfolios Plus
Publication Date: Aug. 1993

15/6/2 (Item 2 from file: 2)
03399187 INSPEC Abstract Number: D85000555
Title: Examining financial planning software
Publication Date: Nov. 1984

15/6/3 (Item 3 from file: 2)
02800685 INSPEC Abstract Number: C82008253
Title: Automation of Czechoslovak statewide system of scientific and technical information
Publication Date: June 1981

15/6/4 (Item 1 from file: 35)
942837 ORDER NO: AAD87-03510
A PHYLOGENETIC ANALYSIS OF THE RHODACAROIDEA (ACARI: MESOSTIGMATA) (SYSTEMATICS)
Year: 1986

15/6/5 (Item 1 from file: 474)
06761776 NYT Sequence Number: 078123941123
H & R BLOCK STOCK PLUNGES ON ELECTRONIC FILING CHANGE
Wednesday November 23 1994

15/6/6 (Item 2 from file: 474)
05049646 NYT Sequence Number: 108748870716
A.D.R.'S IN LONDON
Thursday July 16 1987

15/6/7 (Item 3 from file: 474)
05041047 NYT Sequence Number: 013832870611
CRIME PANEL BACKS BROAD EXPANSION OF COMPUTER FILE
Thursday June 11 1987

15/6/8 (Item 4 from file: 474)
05022840 NYT Sequence Number: 077346870401
MICRO-VICTORY IN THE CHIP WAR
Wednesday April 1 1987

15/6/9 (Item 5 from file: 474)
05015965 NYT Sequence Number: 006978870305
WITNESS IN TUROFF TRIAL RECALLS TAXI METER DEAL
Thursday March 5 1987

15/6/10 (Item 6 from file: 474)
05013487 NYT Sequence Number: 246432870225
WITNESS SAYS HE AGREED TO 'PAY OFF' TUROFF IN TAXI METER SCHEME
Wednesday February 25 1987

15/6/11 (Item 7 from file: 474)
01091525 NYT Sequence Number: 040669810712
(Thoroughbred horse breeding industry is growing to boom proportions in US, which has become center for international breeders. Attracts major investors including financier Eric Kronfeld, Japanese millionaires Kazuo Nakamura and Yorozu Sugawara, Venezuelan oil broker Jose Sahagun, Greek shipping magnate Stavros Niarchos and British football pools operator Robert Sangster. Is entering realm of corporate finance through syndication, or sale of shares in stud stallion, with 8,000 studs servicing 65,000 mares during '81 breeding season. Share price is rising sharply as investors perceive horses as tax shelter with generous depreciation allowance. Increasing use of computerized breeding selection under leadership of Richard Broadbent's Bloodstock Research & Statistical Bureau is discussed. Photos (L).)
Sunday July 12 1981

15/6/12 (Item 8 from file: 474)
01088155 NYT Sequence Number: 037299810506
(Migration of stock brokerage firms from Wall Street has raised number of brokers in Jersey City (NJ) to 23, up from three in '75. Chief attraction is absence of stock transfer tax in NJ, combined with relatively moderate business, sales and personal income taxes, and substantially lower office rents. Move is made practical by use of electronic Intermarket Trading System for stock transactions. Apparent success of new National Securities Trading System, built in Jersey City by Weedon & Co, could make Wall Street even less important as stock trading center. Map of Jersey City and lower Manhattan. Photo (S).)
Wednesday May 6 1981

15/6/13 (Item 9 from file: 474)
00792905 NYT Sequence Number: 063683770103
(Paine, Webber, Jackson & Curtis brokerage firm confronted with advantages and disadvantages of maintaining corporate headqrs in NYC. Advantages include: continuing preponderance of NYC stock exchs in trading with best prices and quickness of stock turnover. Better exch of information on new trends and testing new ideas in more frequent meetings between stockbroker colleagues. Availability of highly skilled personnel to man new computerized operations, which is harder to find in suburbs. Disadvantages include: customers' insistence that stock be traded outside of NYC to avoid stock transfer tax. Availability of instant information nationwide and no longer just in NYC. Customer tendency to prefer face-to-face contact with local broker instead of by telephone to NYC brokers. James W Davant, chmn and chief executive of holding co Paine Webber Inc, and executives Donald E Brown and Robert C Dunwoody comment (M).)
Monday January 3 1977

15/6/14 (Item 10 from file: 474)
00699531 NYT Sequence Number: 060367760207
(Ed remarks that 'self-defeating' stock transfer tax imposed on brokerage houses by NY in '75 is causing shift of Wall St firms to NJ. Holds NYC is still moving in in reverse in its own job-creation drive, despite high priority assigned to endeavor by both Mayor Beame and Gov Carey. Observes that city, which once ranked with Milwaukee as

fountainhead of Amer beer indus, is about to lose last of its breweries. 1Notes that high cost of utilities and local taxes is causing both Rheingold and Schaefer, survivors of indus that once included 70 brewers, to abandon their Bklyn plants. Asserts when elec power costs nearly 3 times as much on this side of Hudson as it does on other and water twice as much, is it clear that Beame's admirable plan to attract new indus to city has to surmount some extreme handicaps. Argues that incentives more substantial than property-tax relief and reduced land rentals city now plans to offer are needed. Declares that New Yorkers will also have to make up their own minds about how seriously they want new enterprises. Observes that every fresh project brings almost automatic protest from community groups. Notes projected \$40-million amusement pk on vacant land now zoned for indus in Staten Island has elicited such vehement neighborhood opposition that sponsors are considering transferring it to Meadowlands in NJ. Holds with pk would go estimated \$2-million a yr in new city taxes, thousands of construction jobs for idle bldg trades workers and upwards of

Saturday February 7 1976

15/6/15 (Item 11 from file: 474)
00681144 NYT Sequence Number: 041980760505

(Ed on recommendations of newly formed Council on the NY Economy for tax relief to improve business climate in NYC and NYS. Lauds proposal to repeal NYC estate tax, which it calls counterproductive, but says it is not clear that NYC stock transfer tax is main reason some brokerage houses are moving to New Jersey. Holds stock transfer tax is negligible factor in investment decisions of small investors, while large block traders have their own incentives for shopping around among regional exchs. Says NYSE and ASE are entrenched as primary marketplaces, and although primacy may be threatened by natl electronic mkt system, this will not occur in next few yrs, with or without stock transfer tax. Urges Council to make its own analysis of stock transfer tax.)

Wednesday May 5 1976

15/6/16 (Item 1 from file: 475)
05510271
SETTLEMENT IN TAX-SHELTER SCAM IS NEAR
Tuesday September 19 1989

15/6/17 (Item 2 from file: 475)
05263556
LONDON'S ELECTRONIC TRADING OF SWISS EQUITIES COULD AFFECT TAXES AND VOLUME
IN HOME MARKET
Monday October 10 1988

15/6/18 (Item 3 from file: 475)
01130586 NYT Sequence Number: 012571790912
(Automatic Data Processing Inc agrees in principle to acquire Programmed Tax Systems Inc, computerized tax -services firm, for 130,000 shares of its common (S).)
Wednesday September 12 1979

15/6/19 (Item 1 from file: 583)

09481517
Antriebsspezialist Lenze wird AG
GERMANY: LENZE TO BECOME AN AG
09 Mar 2001

15/6/20 (Item 2 from file: 583)
09146319
SingTel targets higher debt-equity ratio
SINGAPORE: EXPANSION PLANS OF SINGTEL
17 Aug 1999

15/6/21 (Item 3 from file: 583)
09098071
Rise and rise of the national stealth service
UK: SHARE TRANSFERS TO COST MORE
01 May 1999

15/6/22 (Item 4 from file: 583)
09063638
Firm Gets Perks For LTO Project
PHILIPPINES: STRADCOM BAGS PP 3.5 BN LTO DEAL
08 Feb 1999

15/6/23 (Item 5 from file: 583)
06653579
Infobank shares drop on warning
UK: PROFITS WARNING FROM INFOBANK INTERNATIONAL
09 Jul 1998

15/6/24 (Item 6 from file: 583)
06616868
Capital gains 'too complex' for software
UK: SHARE TRADING TAX BECOMES MORE COMPLICATED
21 April 1998

15/6/25 (Item 7 from file: 583)
06548713
BRAZIL : CHANGES IN THE STOCK STRATEGY
BRAZIL : CHANGES IN THE STOCK STRATEGY
18 Nov 1997

15/6/26 (Item 8 from file: 583)
06321748
SIB urges key change to trading system
UK: SIB AND STOCK EXCHANGE DIFFER ON TAX RELIEF
08 Jun 1996

15/6/27 (Item 9 from file: 583)
06123705
Dividends to be paid into bank accounts
SINGAPORE: DIVIDENDS TO BE CREDITED INTO BANK
9 Mar 1995

15/6/28 (Item 10 from file: 583)
06023914
Freedom on a string?
INDIA: ATOS STRUGGLE TO COMPETE WITH IA
June 1994

15/6/29 (Item 11 from file: 583)
01498050
SUL AMERICA TO INVEST Cz200m IN 1988
BRAZIL - SUL AMERICA TO INVEST Cz200m IN 1988
1 December 1987

15/6/30 (Item 12 from file: 583)
01302144
SAVERS MOVE FUNDS INTO TRUST BANKS AND LIFE ASSURANCE
JAPAN - SAVERS MOVE FUNDS INTO TRUST BANKS AND LIFE ASSURANCE
7 September 1988

15/6/31 (Item 1 from file: 139)
717563
TITLE: Did Steve Forbes Scare the Municipal Bond Market?
PUBLICATION DATE: 1998

15/6/32 (Item 2 from file: 139)
708742
TITLE: A Quantitative Assessment of Electronic Commerce.
PUBLICATION DATE: 1999

? show files;ds

File 20:Dialog Global Reporter 1997-2007/May 03

(c) 2007 Dialog

Set	Items	Description
S1	10784590	STOCK OR STOCKS OR PORTFOLIO OR PORTFOLIOS OR SECURITIES OR SHARE OR SHARES OR (FINANCIAL OR INVESTMENT) () (VEHICLE OR VEHICLES OR PRODUCT OR PRODUCTS OR INSTRUMENT OR INSTRUMENTS) OR INVESTMENTS
S2	3719880	TAX?? OR TARIFF OR TARIFFS OR TAXATION OR IRS OR (INTERNAL OR INLAND) () REVENUE
S3	2445667	ROLLOVER OR ROLLOVERS OR ROLL??? (2W) (OVER OR OVERS) OR CONVERT??? OR CONVERSION OR CONVERSIONS OR TRANSFER OR TRANSFERS OR TRANSFERR??? OR WASH() SALE OR WASHSALE OR MANIPULAT???
S4	791261	FILTER??? OR SORTATION OR SYNTHESI? OR MASSAG??? OR DISTIL-???? OR CULL??? OR GLEAN??? OR PARS??? OR MASK??? OR (LEXICAL OR SYNTAX OR SYNTACTICAL) () ANALYS?S
S5	2499967	AUTOMAT?? OR COMPUTERI? OR ELECTRONIC OR (PROGRAMMED OR DYNAMIC OR SMART OR INTELLIGENT) (2N) (AGENT OR AGENTS OR SERVO? - OR BOT OR BOTS OR SYSTEM OR SYSTEMS) OR SOFTBOT OR SOFTBOTS OR SOFT() (BOT OR BOTS) OR IA OR AI
S6	341815	S1(10N)S2
S7	4694	S3(5N)S4
S8	4	S5(S)S6(S)S7
S9	7886	S5(S)S6
S10	2383	S9(S)(S3 OR S4)
S11	2209	S3(S)S9
S12	33	S5(10N)S6(10N)S3
S13	180	S6(S)(S3(10N)S5)
S14	8	S4(S)S13
S15	41	S12 OR S14
S16	13	S15 NOT PY>2001
S17	12	S16 NOT PD=20010630:20070630
S18	12	RD (unique items)

18/3,K/7

DIALOG(R)File 20:Dialog Global Reporter
(c) 2007 Dialog. All rts. reserv.

13283248 (USE FORMAT 7 OR 9 FOR FULLTEXT)

DUAL LISTING PROBLEM SOLVED

Samantha Enslin

BUSINESS DAY (SOUTH AFRICA), p12

October 13, 2000

JOURNAL CODE: FBUD LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 283

(USE FORMAT 7 OR 9 FOR FULLTEXT)

British companies with SA branch registers were reluctant about being included in the **electronic** settlement schedule because they believed that they would incur a British 1,5% stamp duty reserve **tax** charge on the **transfer** of their **shares** to a nominee to facilitate settlement under Strate.

The British **inland revenue** authorities have waived the requirement on the understanding that Strate will prohibit the holding of...

18/3,K/8

DIALOG(R)File 20:Dialog Global Reporter
(c) 2007 Dialog. All rts. reserv.

08719782 (USE FORMAT 7 OR 9 FOR FULLTEXT)

CheckFree Investment Services Launches Tax-Aware Account Adjuster

PR NEWSWIRE

December 15, 1999

JOURNAL CODE: WPRW LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 623

... portfolio performance at the same time. CheckFree Investment Services is the leading outsourcing provider of **automated** investment management, trading, portfolio performance and reporting systems used by professional money managers and financial advisors.

The new **tax** -aware account adjuster enables **portfolio** managers to interactively view and **manipulate tax** lot level holdings in order to minimize tax consequences. The resultant recommended trade can be...

18/6/1
30300692 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Q4 2003 Metron Technology Earnings Conference Call - Part 1
July 03, 2000
WORD COUNT: 4620

18/6/2
16558381 (USE FORMAT 7 OR 9 FOR FULLTEXT)
**WR Hambrecht + Co Successfully Completes Tax Season Using GainsKeeper's
Capital Gains Service**
May 08, 2001
WORD COUNT: 610

18/6/3
15905237 (USE FORMAT 7 OR 9 FOR FULLTEXT)
**Financial Seminar for Affluent Gays, Lesbians and Unmarried Couples
Addresses Wealth-Building Strategies that Take into Account Unique Tax
and Estate Planning Issues**
March 30, 2001
WORD COUNT: 552

18/6/4
14082362 (USE FORMAT 7 OR 9 FOR FULLTEXT)
TurboTax for Tax Year 2000 Sets New Standard for Automated Tax Preparation
December 05, 2000
WORD COUNT: 1588

18/6/5
13750138 (USE FORMAT 7 OR 9 FOR FULLTEXT)
CME Becomes a For-Profit Corporation
November 13, 2000
WORD COUNT: 1044

18/6/6
13683174 (USE FORMAT 7 OR 9 FOR FULLTEXT)
INLAND REVENUE / MODERNISING STAMP DUTY
November 08, 2000
WORD COUNT: 322

18/6/7
13283248 (USE FORMAT 7 OR 9 FOR FULLTEXT)
DUAL LISTING PROBLEM SOLVED
October 13, 2000
WORD COUNT: 283

18/6/8
08719782 (USE FORMAT 7 OR 9 FOR FULLTEXT)
CheckFree Investment Services Launches Tax-Aware Account Adjuster
December 15, 1999
WORD COUNT: 623

18/6/9

06692049 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Survey - Investment Software: Ghost in the machine - Portfolio management has come a long way since the early days but modern, well diversified portfolios need systems that can accommodate international currency and interest rate fluctuations

August 13, 1999

WORD COUNT: 956

18/6/10

05570004

Banks and insurance companies open up on the net (Los bancos y las companias de seguros en la red)

May 28, 1999

WORD COUNT: 247

18/6/11

04984679 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Budget Summary.

April 08, 1999

WORD COUNT: 6218

18/6/12

04232087 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Fidelity Investments Helps Prepare Investors for Active Markets and the Upcoming Tax Filing Season

February 04, 1999

WORD COUNT: 1225

? show files;ds

File 9:Business & Industry(R) Jul/1994-2007/May 02
(c) 2007 The Gale Group
File 15:ABI/Inform(R) 1971-2007/May 02
(c) 2007 ProQuest Info&Learning
File 148:Gale Group Trade & Industry DB 1976-2007/May 02
(c)2007 The Gale Group
File 275:Gale Group Computer DB(TM) 1983-2007/May 02
(c) 2007 The Gale Group
File 476:Financial Times Fulltext 1982-2007/May 03
(c) 2007 Financial Times Ltd
File 16:Gale Group PROMT(R) 1990-2007/May 02
(c) 2007 The Gale Group
File 160:Gale Group PROMT(R) 1972-1989
(c) 1999 The Gale Group
File 621:Gale Group New Prod.Annou.(R) 1985-2007/May 01
(c) 2007 The Gale Group
File 624:McGraw-Hill Publications 1985-2007/May 03
(c) 2007 McGraw-Hill Co. Inc
File 634:San Jose Mercury Jun 1985-2007/Apr 29
(c) 2007 San Jose Mercury News
File 636:Gale Group Newsletter DB(TM) 1987-2007/May 02
(c) 2007 The Gale Group
File 56:Computer and Information Systems Abstracts 1966-2007/Apr
(c) 2007 CSA.

Set	Items	Description
S1	14875563	STOCK OR STOCKS OR PORTFOLIO OR PORTFOLIOS OR SECURITIES OR SHARE OR SHARES OR (FINANCIAL OR INVESTMENT) () (VEHICLE OR VEHICLES OR PRODUCT OR PRODUCTS OR INSTRUMENT OR INSTRUMENTS) OR INVESTMENTS
S2	3998165	TAX?? OR TARIFF OR TARIFFS OR TAXATION OR IRS OR (INTERNAL OR INLAND) () REVENUE
S3	3867851	ROLLOVER OR ROLLOVERS OR ROLL??? (2W) (OVER OR OVERS) OR CONVERT??? OR CONVERSION OR CONVERSIONS OR TRANSFER OR TRANSFERS OR TRANSFERR??? OR WASH () SALE OR WASHSALE OR MANIPULAT???
S4	1345591	FILTER??? OR SORTATION OR SYNTHESI? OR MASSAG??? OR DISTIL-??? OR CULL??? OR GLEAN??? OR PARS??? OR MASK??? OR (LEXICAL OR SYNTAX OR SYNTACTICAL) () ANALYS?S
S5	5694340	AUTOMAT?? OR COMPUTERI? OR ELECTRONIC OR (PROGRAMMED OR DYNAMIC OR SMART OR INTELLIGENT) (2N) (AGENT OR AGENTS OR SERVO? - OR BOT OR BOTS OR SYSTEM OR SYSTEMS) OR SOFTBOT OR SOFTBOTS OR SOFT () (BOT OR BOTS) OR IA OR AI
S6	576187	S1(10N)S2
S7	21710	S3(5N)S4
S8	1	S5(S)S6(S)S7
S9	4724	S5(S)S6
S10	3	S6(S)S7
S11	316	S3(S)S9
S12	165	S3(10N)S6(10N)S9
S13	7	S4(S)S12
S14	7	S4(2S)S12
S15	14	S4(F)S12
S16	105	S12 NOT PY>2001
S17	101	S16 NOT PD=20010630:20070630
S18	76	RD (unique items)
S19	1588	S5(10N)S6
S20	55	S3(10N)S19
S21	62	S13 OR S20
S22	38	S21 NOT PY>2001
S23	35	S22 NOT PD=20010630:20070630

S24 27 RD (unique items)

24/3,K/7 (Item 4 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)
(c) 2007 ProQuest Info&Learning. All rts. reserv.

01557542 02-08531

The business of banking: Looking to the future--part II
Williams, Julie L; Gillspie, James F E Jr
Business Lawyer v52n4 PP: 1279-1331 Aug 1997
ISSN: 0007-6899 JRNL CODE: BLW
WORD COUNT: 26159

...TEXT: processing services to their customers and to other banks, including account balance inquiries, account transfers, **transaction** information, bill paying, financial planning, investments **and** stock **market** information and transactions, electronic **checkbook** , and electronic **tax filing**).

103. See Conditional Approval No. 221, supra note 28, at 2 (finding that national banks...

24/3,K/12 (Item 3 from file: 148)
DIALOG(R)File 148:Gale Group Trade & Industry DB
(c)2007 The Gale Group. All rts. reserv.

13015110 SUPPLIER NUMBER: 54252364 (USE FORMAT 7 OR 9 FOR FULL TEXT)
What's new in tax and accounting research.
Wolosky, Howard W.
Practical Accountant, 31, 6, 47(4)
June, 1998
ISSN: 0032-6321 LANGUAGE: English RECORD TYPE: Fulltext; Abstract
WORD COUNT: 2746 LINE COUNT: 00228

... which has print, CD-ROM, online and e-mail products. For example, it offers its **Transfer Pricing Report**, **Financial Products Report** and **Multistate Tax Electronic Weekly** on Lotus Notes and through the Web. TMSTATE is a complementary e-mail notification...

24/3,K/13 (Item 4 from file: 148)
DIALOG(R)File 148:Gale Group Trade & Industry DB
(c)2007 The Gale Group. All rts. reserv.

11755625 SUPPLIER NUMBER: 55055486 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Statement by Edward W. Kelley, Jr., Member, Board of Governors of the Federal Reserve System, before the Committee on Banking and Financial Services, U.S. House of Representatives, April 13, 1999.
Federal Reserve Bulletin, 85, 6, 404
June, 1999
ISSN: 0014-9209 LANGUAGE: English RECORD TYPE: Fulltext; Abstract
WORD COUNT: 7806 LINE COUNT: 00650

... Reserve is offering customers the opportunity to test future-dated transactions for Fedwire funds and **securities transfer** , Fed **Automated Clearing House**, the Integrated Accounting System, Treasury **Tax** and Loan, Check, and other services with **electronic** data exchanges. To date, more than 8,000 institutions have tested with us, and the...

24/3,K/18 (Item 1 from file: 275)

DIALOG(R) File 275:Gale Group Computer DB(TM)
(c) 2007 The Gale Group. All rts. reserv.

01671570 SUPPLIER NUMBER: 15074098 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Trading department support systems. (Buyers Guide)
Wall Street & Technology, v11, n8, p50(10)
Annual, 1994
DOCUMENT TYPE: Buyers Guide ISSN: 1060-989X LANGUAGE: ENGLISH
RECORD TYPE: FULLTEXT; ABSTRACT
WORD COUNT: 10636 LINE COUNT: 00949

... ANSI, X/Open, SAA, OSF/1 compliant back-office

A global trade processing system that **automates** front and back office trading activities for foreign exchange, money market, **securities**, derivative products (futures, options, **IRS** 's, **FRA**'s, **FXA**'s) and bullion transactions. Deal capture with limits checking, on-line...from brokers-issues, side, quantity, and quoter. Extensive system security features. Orders can be sorted/ **filtered** by issue, time, broker or trader.

Real-Time USA Oakbrook Terrace Tower, Ste. 1420, Oakbrook...LANs, UNIX An integrated, modular treasury system providing bank connections for balance retrieval and funds **transfer**, cash position, forecasting, investment and debt issuing/tracking account. Analysis, and G/ ...customer needs. Its solutions off the industry's most popular intelligent workstations for data display, **manipulation** and analysis, supporting industry standard graphical interfaces such as X Windows, Motif and Sun OpenWindows...

...processing system that automates front and back office trading activities for foreign exchange, money market, **securities**, derivative products (futures, options **IRS** 's, **FRA**'s **FXA**'s), and bullion transactions. Deal capture with limits checking, on-line...

24/3,K/27 (Item 3 from file: 636)
DIALOG(R) File 636:Gale Group Newsletter DB(TM)
(c) 2007 The Gale Group. All rts. reserv.

01917805 Supplier Number: 43355641 (USE FORMAT 7 FOR FULLTEXT)
MONEY LAUNDERING: WORLD AWASH WITH DIRTY MONEY, EUROPEAN CONFERENCE TOLD
European Report, n1800, pN/A
Oct 5, 1992
Language: English Record Type: Fulltext
Document Type: Newsletter; Trade
Word Count: 265

... question of fighting against criminals "depositing suitcases of money in bank accounts, but against the **electronic transfer** of huge funds to numerous accounts in **tax** havens, as well as **investments** in property and industry". He said that since 1991 Bulgaria, Czechoslovakia and Hungary had become...

24/6/1 (Item 1 from file: 9)
01890475 Supplier Number: 25354045 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Unit Investment Trusts Make Foray into Variable Annuities
July 01, 1999
WORD COUNT: 859

24/6/2 (Item 2 from file: 9)
01868044 Supplier Number: 24681894 (USE FORMAT 7 OR 9 FOR FULLTEXT)
UITs Make Foray into Variable Annuities
June 14, 1999
WORD COUNT: 769

24/6/3 (Item 3 from file: 9)
01204824 Supplier Number: 23836517
**ASTEC (BSR) BOOSTS PROFITS 20% DESPITE SLIM RISE IN SALES BY BRINGING IN
HIGHER-MARGIN NEW PRODUCTS**
March 18, 1997
WORD COUNT: 288

24/6/4 (Item 1 from file: 15)
01997577 51277014
USE FORMAT 7 OR 9 FOR FULL TEXT
AT&T's IR Web Site Redesign
Mar 20, 2000
WORD COUNT: 187

24/6/5 (Item 2 from file: 15)
01879899 05-30891
Computer-related services subject to sales tax
Fall 1999 LENGTH: 2 Pages

24/6/6 (Item 3 from file: 15)
01845318 04-96309
USE FORMAT 7 OR 9 FOR FULL TEXT
Statements to the Congress: Edward W. Kelley Jr.
Jun 1999 LENGTH: 10 Pages
WORD COUNT: 7356

24/6/7 (Item 4 from file: 15)
01557542 02-08531
USE FORMAT 7 OR 9 FOR FULL TEXT
The business of banking: Looking to the future--part II
Aug 1997 LENGTH: 53 Pages
WORD COUNT: 26159

24/6/8 (Item 5 from file: 15)
00756165 94-05557
USE FORMAT 7 OR 9 FOR FULL TEXT
Program automates employee stock plan administration
Sep 1993 LENGTH: 1 Pages
WORD COUNT: 593

24/6/9 (Item 6 from file: 15)
00226875 84-05436
Current Developments in Compensation Tax Planning
Winter 1984 LENGTH: 8 Pages

24/6/10 (Item 1 from file: 148)
13612929 SUPPLIER NUMBER: 76428354 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Financial and Business Statistics.(of Federal Reserve banks, includes
glossary) (Illustration) (Statistical Data Included)
May, 2001
WORD COUNT: 26235 LINE COUNT: 12979

24/6/11 (Item 2 from file: 148)
13267136 SUPPLIER NUMBER: 72498642 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Financial Seminar for Affluent Gays, Lesbians and Unmarried Couples
Addresses Wealth-Building Strategies that Take into Account Unique Tax
and Estate Planning Issues.
March 30, 2001
WORD COUNT: 578 LINE COUNT: 00054

24/6/12 (Item 3 from file: 148)
13015110 SUPPLIER NUMBER: 54252364 (USE FORMAT 7 OR 9 FOR FULL TEXT)
What's new in tax and accounting research.
June, 1998
WORD COUNT: 2746 LINE COUNT: 00228

24/6/13 (Item 4 from file: 148)
11755625 SUPPLIER NUMBER: 55055486 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Statement by Edward W. Kelley, Jr., Member, Board of Governors of the
Federal Reserve System, before the Committee on Banking and Financial
Services, U.S. House of Representatives, April 13, 1999.
June, 1999
WORD COUNT: 7806 LINE COUNT: 00650

24/6/14 (Item 5 from file: 148)
11206920 SUPPLIER NUMBER: 55226356 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Concord EFS Announces Second Quarter 1999 Results.
July 22, 1999
WORD COUNT: 502 LINE COUNT: 00058

24/6/15 (Item 6 from file: 148)
07670455 SUPPLIER NUMBER: 16026993 (USE FORMAT 7 OR 9 FOR FULL TEXT)
ADP positioned to control funds at point of payroll. (Automatic Data
Processing Inc.) (Management Strategies) (Column)
Jan 9, 1995
WORD COUNT: 1302 LINE COUNT: 00106

24/6/16 (Item 7 from file: 148)
05890699 SUPPLIER NUMBER: 12226644 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Desktop finance. (accounting software packages)
June 22, 1992
WORD COUNT: 1294 LINE COUNT: 00098

24/6/17 (Item 8 from file: 148)
04793371 SUPPLIER NUMBER: 09420719 (USE FORMAT 7 OR 9 FOR FULL TEXT)
**Nynex acquires Stockholder Systems; becomes largest U.S. provider of
financial application software. (Nynex Information Solutions Group)**
(includes overviews of the two companies)

Sept 19, 1990
WORD COUNT: 656 LINE COUNT: 00074

24/6/18 (Item 1 from file: 275)
01671570 SUPPLIER NUMBER: 15074098 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Trading department support systems. (Buyers Guide)

Annual, 1994
WORD COUNT: 10636 LINE COUNT: 00949

24/6/19 (Item 1 from file: 476)
0008535258 BOGAEAB6FT
**The Finance Bill: Background notes of clauses and schedules of the Finance
Bill**

Friday, January 5, 1996
Word Count: 12,065

24/6/20 (Item 2 from file: 476)
0008049122 BOFK2FUAGNFT
**Budget 95 (Red Book Details): Simpler tax system and a lift for savers -
Tax and national insurance measures / Marginal rates cut for 18m**
Wednesday, November 29, 1995
Word Count: 3,861

24/6/21 (Item 1 from file: 16)
08078039 Supplier Number: 67323056 (USE FORMAT 7 FOR FULLTEXT)
**Last chance for 2000 AMAF donations. (American Marketing Association
Foundation) (Brief Article) (Editorial)**
Nov 20, 2000
Word Count: 235

24/6/22 (Item 2 from file: 16)
06326290 Supplier Number: 54589983
UK: SHARE TRANSFERS TO COST MORE.
May 1, 1999

24/6/23 (Item 3 from file: 16)
01915893 Supplier Number: 42440725 (USE FORMAT 7 FOR FULLTEXT)
Bankers Trust
Oct 14, 1991
Word Count: 290

24/6/24 (Item 1 from file: 160)
01210971
Bank of America adds brokerage to home banking.
June 7, 1985

24/6/25 (Item 1 from file: 636)
03948020 Supplier Number: 50271967 (USE FORMAT 7 FOR FULLTEXT)
DAVIDSOHN TO RELEASE NEW ACATS SYSTEM.
August 24, 1998
Word Count: 300

24/6/26 (Item 2 from file: 636)
02505502 Supplier Number: 45035310 (USE FORMAT 7 FOR FULLTEXT)
Indonesia: Corporate Tax Reform
Oct, 1994
Word Count: 1441

24/6/27 (Item 3 from file: 636)
01917805 Supplier Number: 43355641 (USE FORMAT 7 FOR FULLTEXT)
MONEY LAUNDERING: WORLD AWASH WITH DIRTY MONEY, EUROPEAN CONFERENCE TOLD
Oct 5, 1992
Word Count: 265